

Fees & Investment Minimums

| Strategies | | | | | Guided Portfolios | | | | Custom Individually Managed Accounts ⁹ | | | |
|---|--------------------------|------------|----------------------------------|--|---|---------------------|--------------|-------------------|---|-------------------------------------|---------------------|------------------------|
| | GuideMark ^{1,7} | Clark FITR | Proprietary ETF, MF ⁶ | Third-Party ETF, Institutional MF ^{2,3} | Guided Income Solutions | GPS Fund Strategies | GPS Select | Custom GPS Select | Parametric Custom Port. ⁴ | CIBC Custom Portfolios ⁴ | Custom ⁹ | City National Rochdale |
| <\$250K | 0.25% | 0.55% | 0.45% | 0.50% | 0% | 0.25% | 0.65% | 0.65% | 0.65% | 1.00% | 1.05% | 1.10% |
| \$250K-\$500K | 0.15% | 0.55% | 0.40% | 0.35% | 0% | 0.15% | 0.65% | 0.65% | 0.65% | 1.00% | 1.05% | 1.10% |
| \$500K-\$1M | 0.10% | 0.50% | 0.35% | 0.30% | 0% | 0.10% | 0.60% | 0.60% | 0.65% | 1.00% | 0.99% | 1.04% |
| \$1M-\$2M | 0.10% | 0.45% | 0.30% | 0.28% | 0% | 0.10% | 0.55% | 0.55% | 0.60% | 0.95% | 0.94% | 0.99% |
| \$2M-\$3M | 0.10% | 0.35% | 0.20% | 0.25% | 0% | 0.10% | 0.45% | 0.45% | 0.60% | 0.95% | 0.90% | 0.99% |
| \$3M-\$5M | 0.10% | 0.30% | 0.20% | 0.20% | 0% | 0.10% | 0.40% | 0.40% | 0.60% | 0.90% | 0.85% | 0.95% |
| \$5M+ | 0.10% | 0.25% | 0.20% | 0.10% | 0% | 0.10% | 0.35% | 0.35% | 0.50% | 0.80% | 0.75% | 0.90% |
| Minimum | \$10,000 | \$25,000 | \$25,000 | \$25,000 | \$50,000 | \$10,000 | \$50K-\$100K | \$250,000 | \$250K-\$750K | \$1M | \$500K-\$1M | \$1M |
| Supplemental Fee | | | Proprietary ETF, MF | Third-Party ETF, Institutional MF | Supplemental Fee | | | Custom GPS Select | Supplemental Fee | | Custom | |
| AssetMark Personal Values ² | | | 0.05% | | Dorsey Wright, Savos US Risk Controlled | | | 0.10% | William Blair | | 0.05% | |
| AlphaSimplex, BlackRock (MAI, Opportunistic Alts, RFI), DoubleLine, First Trust Alternatives, JPMorgan Global Flexible, Morningstar (ETF, ESG, Fixed Income Allocation), State Street | | | | 0.10% | Savos GMS, Savos PMP | | | 0.20% | | | | |
| First Trust Top Themes, VanEck Thematic Disruption, AssetMark AssetBuilder ² | | | 0.15% | 0.15% | Julex, WestEnd Advisors | | | 0.30% | | | | |
| New Frontier | | | | 0.20% | Clark Tactical US | | | 0.35% | | | | |
| Dorsey Wright | | | | 0.25% | AIM (formerly known as Beaumont) | | | 0.40% | | | | |
| Clark Tactical US, Julex, WestEnd Advisors | | | | 0.50% | | | | | | | | |
| AIM (formerly known as Beaumont) | | | | 0.60% | | | | | | | | |

| Separately Managed Accounts (SMAs) | | | Individually Managed Accounts—Fixed Income ⁹ | | | Savos | | | | Administrative Accts/Individual Third-Party MFs | |
|---|--------------|-------------------------------|---|--|--|----------------------------------|----------|--------------------|-----------------------------------|---|----------------|
| | Active SMAs | Direct Indexing ¹¹ | Proprietary Laddered Fixed Income ^{4,6} | Third-Party Laddered Fixed Income ⁴ | Active Fixed Income ⁴ | Preservation | GMS/PMP | US Risk Controlled | Personal Portfolios ¹² | General Securities ⁴ or Custodial Sweep ⁵ | Individual MFs |
| <\$250K | 0.70% | 0.50% | 0.20% | 0.27% | 0.30% | 0.75% | 1.00% | 0.90% | 0.75% | 0.00% | 0.25% |
| \$250K-\$500K | 0.70% | 0.50% | 0.20% | 0.27% | 0.30% | 0.50% | 0.80% | 0.75% | 0.75% | 0.00% | 0.15% |
| \$500K-\$1M | 0.67% | 0.50% | 0.20% | 0.27% | 0.25% | 0.50% | 0.75% | 0.70% | 0.75% | 0.00% | 0.10% |
| \$1M-\$2M | 0.64% | 0.45% | 0.15% | 0.22% | 0.20% | 0.45% | 0.70% | 0.65% | 0.70% | 0.00% | 0.10% |
| \$2M-\$3M | 0.60% | 0.45% | 0.15% | 0.22% | 0.20% | 0.45% | 0.70% | 0.65% | 0.70% | 0.00% | 0.10% |
| \$3M-\$5M | 0.55% | 0.45% | 0.15% | 0.22% | 0.20% | 0.40% | 0.70% | 0.65% | 0.70% | 0.00% | 0.10% |
| \$5M+ | 0.50% | 0.35% | 0.15% | 0.22% | 0.20% | 0.30% | 0.60% | 0.55% | 0.60% | 0.00% | 0.10% |
| Minimum | \$50K-\$100K | \$75K-\$150K | \$25,000 | \$125K-\$250K | \$25K-\$250K | \$25,000 | \$25,000 | \$25,000 | \$150,000 | \$10,000 | \$10,000 |
| Supplemental Fee | | Active SMAs | Direct Indexing | Supplemental Manager Fee | Third-Party Laddered Fixed Income ⁴ | Active Fixed Income ⁴ | | | | | |
| AllianceBernstein, BlackRock, Brown Advisory, Capital Group, Federated Hermes, Fiera, Franklin Templeton, Hartford, JPMorgan, Logan, Neuberger Berman, Principal Edge, VanEck | | 0.05% | | Nuveen Ladders | 0.13% | | | | | | |
| AssetMark Sustainable Dividend, AssetMark Sustainable Women’s Growth | | | 0.05% | Capital Group Intermediate Muni, Clark Capital (Tax and Tax-Free), Nuveen Muni | | 0.20% | | | | | |
| Acadian, Principal | | 0.10% | | | | | | | | | |
| AssetMark Sustainable MOAT | | | 0.10% | | | | | | | | |

The fees above are tiered. The first dollar under management receives the highest fee and assets over each breakpoint receive reduced fees as listed.

Advisor Managed Portfolios (available under the Advisor Model only):
Flat Fee: 0.25% - 0.29% and a \$10,000 account minimum

Tax Management Services:
Flat fee: 0.10% and a \$100 annual minimum fee

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Tax Management Services:

Flat fee: 0.10% and a \$100 annual minimum fee

INVESTMENT FIRMS BY CATEGORY

| Strategies | | | Guided Portfolios | Individually Managed Accounts ⁹ | Separately Managed Accounts (SMAs) | Individually Managed Accounts—Fixed Income ⁹ | | | Individual Mutual Funds ¹⁰ |
|---|--|--|---|--|---|---|--|--|---|
| GuideMark ^{1,7} | Proprietary ETF, MF ⁶ | Third-Party ETF, Institutional MF ^{2,3} | Custom GPS Select | Custom | Active SMAs | Proprietary Laddered Fixed Income ^{4,6} | Third-Party Laddered Fixed Income ⁴ | Active Fixed Income ⁴ | |
| New Frontier ⁸ , Global GuideMark [®] , Market Blend ⁸ , US GuideMark [®] , Market Blend ⁸ , Individual GuidePath [®] Funds, GuideMark [®] Funds | AssetMark AssetBuilder ² , AssetMark Income Builder, AssetMark Personal Values ² , AssetMark WealthBuilder SM , Market Blend ETF Portfolios | AIM (formerly Beaumont), American Funds, AlphaSimplex, BlackRock (MAI, Opportunistic Alts, RFI, TA ESG, TA Multi-Manager w/ Alts), Clark (FTR, Tactical US), Capital Group ETFs, Dimensional Core Portfolios ³ , Dorsey Wright, DoubleLine, Fidelity, First Trust Alternatives, First Trust Low Duration Fixed Income, First Trust Strategic Risk Core, First Trust Top Themes, First Trust Vest Laddered US Equity Buffer ETF Model, Franklin Templeton, JPMorgan (Absolute Return, Global Flexible, Global Standard, MAI), Julex, Kensington Managed Income, Morningstar (Active/Passive, ETF, ESG, Fixed Income Allocation), New Frontier, Nuveen ESG, PIMCO, State Street, VanEck, WestEnd Advisors | All strategists (plus Savos UMA strategies) in the Strategies table are available for Custom GPS Select | AssetMark Custom High Net Worth, Clark Capital Personalized UMA, William Blair | Acadian, AllianceBernstein, BlackRock, Brown Advisory, Capital Group, Federated Hermes, Fiera Capital, Franklin Templeton, Hartford, JPMorgan, Logan, Morningstar, Neuberger Berman, Principal, Principal Edge, VanEck, William Blair | Savos Bond Ladders | Nuveen, Parametric | Capital Group Intermediate Muni, Clark Capital Taxable and Tax-Free Fixed Income, Nuveen, Savos Active Fixed Income, Savos ETF Ladders | DoubleLine Shiller Enhanced CAPE, Neuberger Berman PutWrite, PIMCO TRENDS Managed Futures, Stone Ridge Diversified Alternatives |

1. Mutual Funds used within these strategies are primarily comprised of NTF (No Transaction Fee) Funds including A share and retail share classes.

2. Annual Minimum Platform Fee: \$350 (This fee is waived on American Funds and Multiple Strategy Accounts).

3. Dimensional Core Portfolios is a Third-Party solution but follows the Proprietary ETF and Mutual Fund fee schedule.

4. Transaction-based fees, including trade away fees, may be applicable to the account. These fees are typically \$20 per trade.

5. Custodial sweep or money market fund selected by AssetMark

6. Proprietary solution types refer to those offered by AssetMark.

7. AssetMark is the investment adviser to the GuideMark[®] Funds.

8. This strategy contains GuideMark[®] mutual funds.

9. Custom and Fixed Income = Individually Managed Account

10. Other Individual Mutual Funds are available, including BlackRock T-Fund and JPMorgan 100% Treasury, AssetMark waives the Platform Fee in some instances.

11. Taxable registrations using Direct Indexing will include Tax Management Services with no additional fee.

12. Savos Personal Portfolios with TMS include Tax Management Services with no additional fee.

Multiple Strategy Account (MSA): The fees charged for an MSA account are based on the above single-strategy fee schedule for each strategist selected and weighted based on the allocation to each sleeve.

Proprietary Mutual Fund Solutions: Refer to Exhibit C for important conflicts of interest disclosures on strategies that use AssetMark's proprietary mutual funds.

For the most current version of this document, please go to www.assetmark.com/info/disclosure

For complete information about account minimums, fees, and expenses for the various investment solutions, refer to the Disclosure Brochure. To receive a copy, please contact your financial advisor.

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