



Efficient Advisors to AssetMark, Inc. Integration

POWER HOUR 1.22.2026

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Efficient Advisors to AssetMark Overview



AssetMark, Inc. acquired Efficient Advisors in December 2025



Transition is planned for the weekend of May 1-3, 2026



What we'll cover today:

- Updates for your clients
- Updates for you and your office
- The Efficient Advisors experience at AssetMark – new benefits you'll experience



Transition Communications and Events



For your clients...



What's staying the same?

- Client account numbers will remain the same and they will move seamlessly from Efficient Advisors to AssetMark over integration weekend
- Client logins and access to custodial websites (Fidelity.com, and Schwab Alliance) will be unaffected
- Periodic Distribution, Periodic Investments, Standing Bank Instructions, etc. will remain intact



What's going to change?

- If your clients currently use the Efficient Advisors website to access accounts, they can expect that access to terminate at integration with access to AssetMark's Investor Portal replacing the same functionality for their account needs
- Cash Allocation for EA models will be migrated from 1% to 2%
- Moving from Monthly to Quarterly Billing effective April 2026



Client Communications

- The negative consent letters sent by EA to your clients in Q4 2025 served as confirmation to move their accounts to AssetMark
- Upcoming: negative consent notice of the change from monthly to quarterly billing
- Unsupported Account Features (if applicable)

AssetMark Open for New Business with Efficient Advisors

EA Investment Solutions Available Exclusively for EA Advisors at AssetMark Beginning January 23

Strategy Name	Risk Profiles	Investment Minimum
Evidence-Based DFA L3 (Large)	1 - 6	\$20,000
Evidence-Based DFA M2 (Medium)	1 - 6	\$5,000
Evidence-Based DFA S1 (Small)	1, 3, 6	\$1,000
Evidence-Based Hybrid L3 (Large)	1 - 6	\$20,000
Evidence-Based Hybrid M2 (Medium)	1 - 6	\$5,000
Evidence-Based Hybrid S1 (Small)	1, 3, 6	\$1,000
Evidence-Based Vanguard L3 (Large)	1 - 6	\$20,000
Evidence-Based Vanguard M2 (Medium)	1 - 6	\$5,000
Evidence-Based Vanguard S1 (Small)	1, 3, 6	\$1,000
Evidence-Based Vanguard Core	5 - 6	\$20,000
Evidence-Based Vanguard MF	1 - 5	\$20,000

AssetMark expands the tools, solutions, and opportunities available to you in support of your efforts to scale your practice and enhance the client experience

Points of Note

Cash Allocations

- 2% at AMK – an increase from 1% at EA

Fees at Fidelity

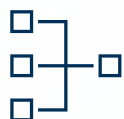
- Fee Structure at EA – two separate fees:
 - 35 bps platform fee
 - 10 bps custody fee
- Fee Structure at AMK – one combined platform fee:
 - Platform fee starts at 45 bps and tiers down

Fees at Schwab

- We calculated the allocations of the billable assets in an account to determine the custody fee that clients are charged. We are then wrapping that custody fee into the platform fee and **rounded down** so the client is not paying more than what they are paying on the EA platform today

Total Qualifying Weight of Billable Assets	Weighted Fee %	Model Name	Fee Schedule
88	0.00088	Evidence Based DFA L3 10% Equity / 90% Fixed	<\$500K 0.43%
			\$500- \$1M 0.43%
			\$1M-\$2M 0.38%
			\$2M-\$4M 0.33%
			\$4M-\$10M 0.28%
			\$10M+ 0.23%

Transition to Quarterly Billing in Advance



Billing Change

Efficient Advisors will migrate to a quarterly billing model in April, prior to migration to AssetMark in May.

Current Experience

- Monthly client billing in advance
- Advisors paid monthly

Future Experience

- Quarterly client billing in advance
- Advisors paid quarterly
- Rebates on terminated accounts
- No platform fee for Non-Managed Accounts
- \$5 account minimum goes away



April

Efficient Advisors will process the first quarterly billing cycle and advisor payment.

July

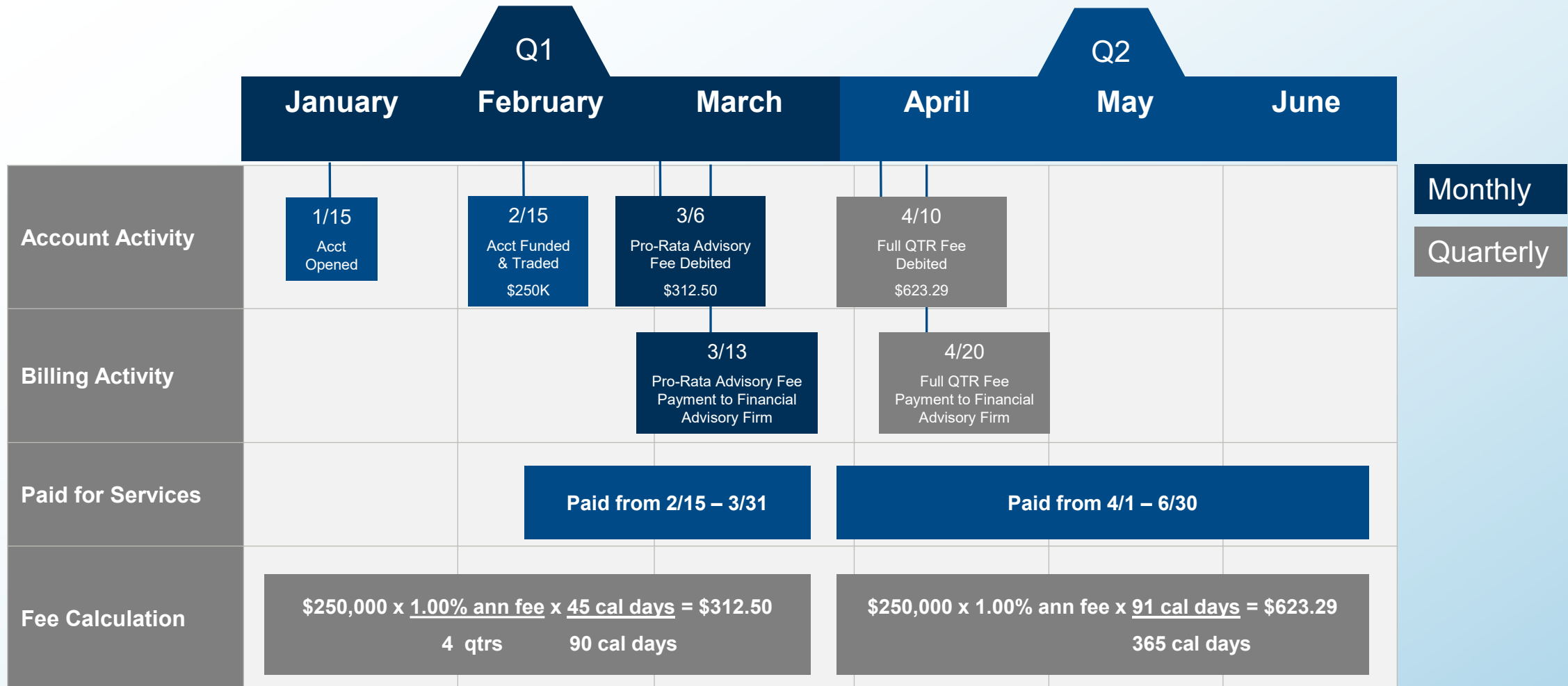
AssetMark will process the next quarterly billing cycle and advisor payment.



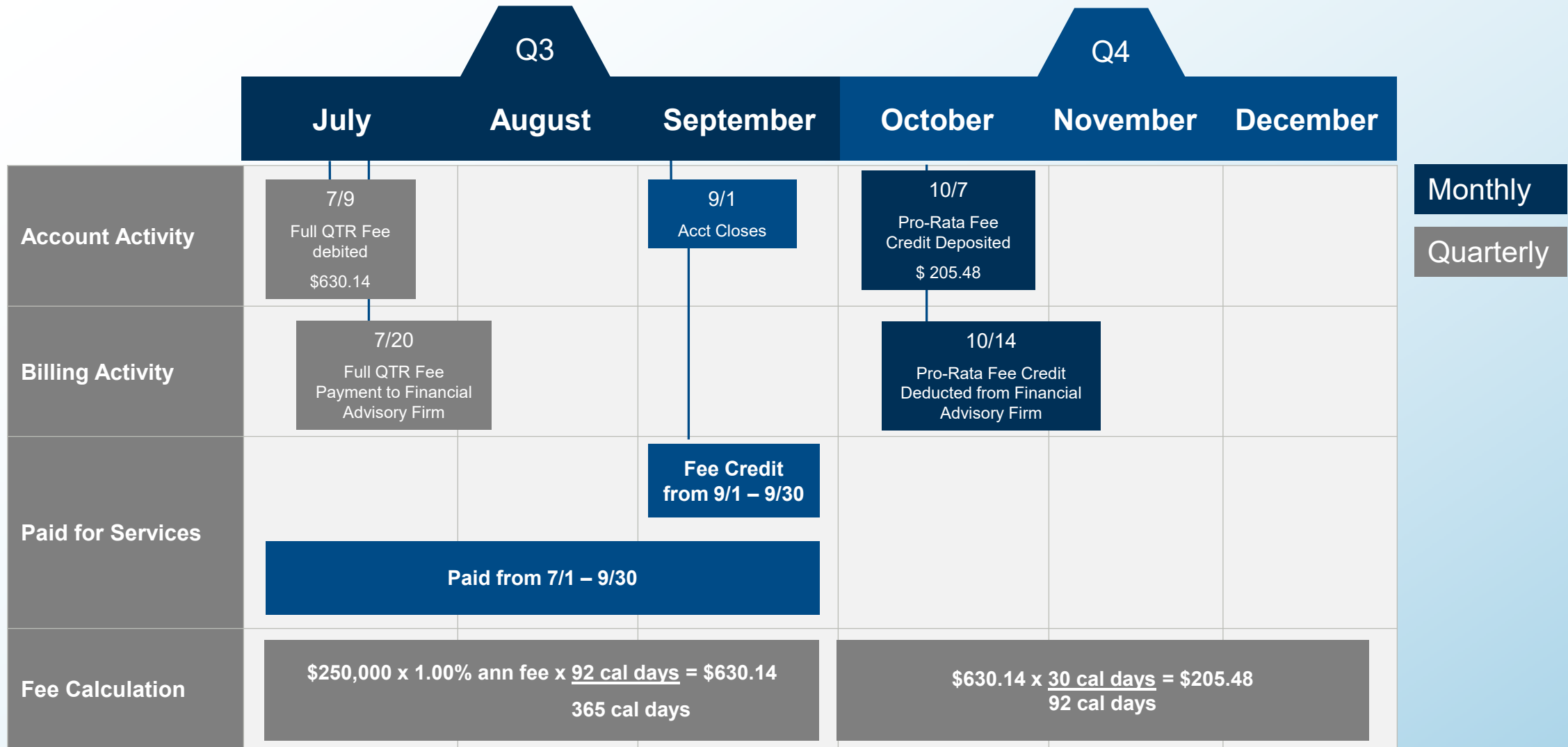
Communication Plan

- **1/08** – Action Alert: communicate to you that AssetMark bills quarterly in advance and rebates on terminated accounts
- **1/22** – Announce these changes in the Power Hour
- **1/26** – Notification to Efficient Advisors advisors, including notice of communication to end clients on 2/02
 - ★ **2/02** – Negative Consent Communications to End Clients
- **2/23** – Second notification to Efficient Advisors advisors
- **4/02** – Action Alert includes reminder that quarterly billing commences in April

Billing Timeline (example)



Billing Timeline (example)



New to you with AssetMark

AssetMark Retirement Services

Comprehensive Retirement Solutions

Professionally-managed retirement plan solutions, customized to your needs

End-to-end support

AssetMark's knowledgeable specialists can help customize a plan based on your goals, employee demographics, and tax objectives.



- Plan design assistance and ongoing consulting
- ERISA 3(38) Investment Management fiduciary services
- Recordkeeping and optional Third-Party Administrative (TPA) services from one of our recordkeeping partners, or you may utilize your own TPA.

Easy Access, Education, & Guidance

Keep plan participants up-to-date anytime, anywhere, even on-the-go!



- 24/7 support, online and voice response.*
- Online account access and mobile app
- Interactive videos, employee education sessions and calculators

*System access subject to availability.

Curated Investment Lineup

Access to institutional quality investments for a range of investor needs and goals



- Target-date portfolios
- Risk-based portfolios
- Socially-responsible and faith-based portfolios
- Stand-alone funds
- Stable Value option available

Competitive and Transparent Fees

All fees are fully disclosed and competitively priced. Recordkeeping and administration fees with our partner service provider are flat, not asset-based. This may differ if you use a local TPA.



Fiduciary Responsibilities: Are you protected?



AssetMark is an ERISA 3(38) Investment Manager. We assume liability for the selection of all investments within the plan and remove plan sponsors from virtually all fiduciary responsibility related to investments. We acknowledge this responsibility in our Client Service Agreement.

As the 3(38) fiduciary, AssetMark performs the following:

- **Fiduciary role** acting solely in the interest of plan participants and their beneficiaries
- **Research and selection** of a diversified range of investment options based on expected risk, returns, asset class correlations, and deep knowledge of the investment marketplace
- **Ongoing investment monitoring** using a combination of quantitative and qualitative evaluation methods —and replace plan investment options as needed
- Create and follow an **Investment Policy Statement** used in selecting and implementing the plan's investment lineup
- **Review investment performance and report it** quarterly and annually
- Ensure **investment expenses** are reasonable

Hiring an ERISA 3(38) Investment Manager is the simplest way to help reduce fiduciary risk. It relieves you of this burden so you can focus on running your business.

Service & Support

We're Here to Help!

Sales Consulting Team

Helps with

- Collaboration on business goals
- Transitioning assets to AssetMark platform
- General Platform Solutions
- Investment strategies
- Proposals & Portfolio engine reports
- Client Reviews



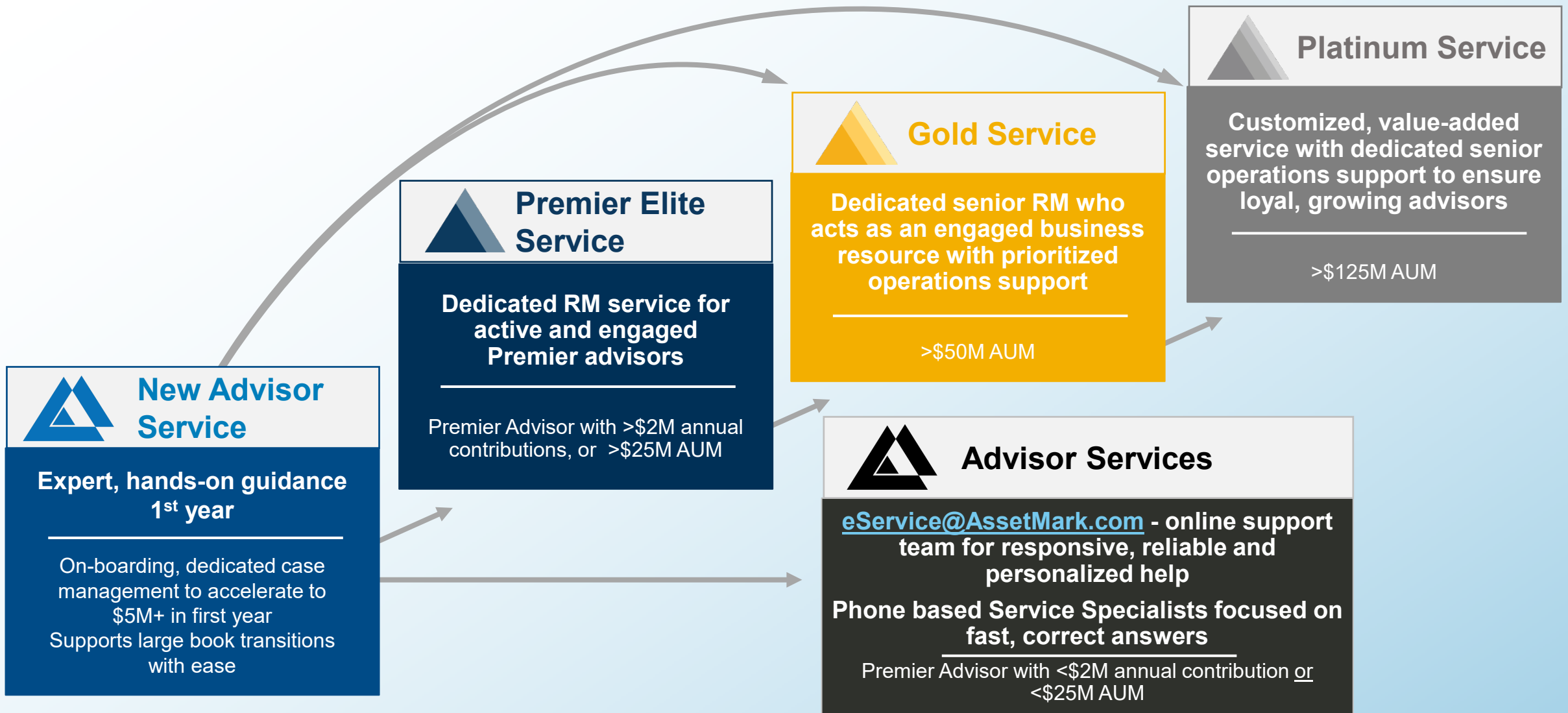
Service Team

Helps with

- eWealthManager®
- How to
 - Open Accounts
 - Initiate Requests
 - Maintenance
- Problem Resolution
- Escalations/Urgent Assistance

Our teams act as an
extension of your team

Service and Support Aligned to Help You Grow



Custodians

We are pleased to share that
AssetMark is an open architecture platform.

We work closely with the following custodians to support you and your clients:



Fidelity[®]

Pershing[®]



ASSETMARK[®]

AssetMark Trust Company is an Arizona trust company and an affiliate of AssetMark, Inc., an investment adviser registered with the U.S. Securities and Exchange Commission.

Introduction to eWealthManager

New Accounts and the Client Experience

The New Account Wizard: Experience and Benefits

“One-Stop Shop”

- Create all AssetMark and custodial forms
 - Applications
 - Transfer forms
 - Supplemental docs (SWP, add bank instructions, etc.)
- Add B/D or RIA forms to eSignature bundle
- Monitor progress in Tracking Center

Account Wizard: Create a new client

eWealthManager Welcome Sample Advisor
sampleadvisorlogin Logout • Switch ID

Search

Help | Preferences | Contact Us | Feedback | Meeting Mode Off

Home Clients **9** Tracking Center Investments Retirement Financial Planning Business Consulting Marketing Service Center **Account Wizard**

There are two ways to get started.

1 Create a new client
To create a new client, please proceed to set up a client profile. **Go**

2 Modify an existing client account
To initiate a request, please choose a client below to request a task such as withdrawal or an investment solution change.

All Clients Search Clients: **Go**

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z All

Client Name	City, State	Phone	Email
-------------	-------------	-------	-------

Account Wizard: Client Profile

Home Clients **90** Tracking Center Investments Retirement Financial Planning Business Consulting Marketing Service Center **Account Wizard**

Client Profile

Client Profile Construct Portfolio Fees Portfolio Details Account Setup Create Documents View Documents

Client Type: Joint/Family

Client Name*: John Smith and Jane Smith

Sort Name: Smith, John and Jane

Fiduciary Role*:
 Discretionary
 Non-Discretionary

Risk Assessment (Required) Based upon your knowledge of the Client, please answer the following three questions.

1. Client's risk tolerance for this Portfolio
 Low Moderate High Very High

2. Client's investment horizon
 2 yrs or less 3 - 5 yrs 6 - 9 yrs 10 years +

3. Percent of Client's total net worth that this Portfolio represents
 over 75 % 50 - 75 % 25 - 50 % less than 25%

Risk Return Profile (Required) Please select one of the following Risk Tolerance Profiles to be displayed in your Client Investment Proposal. Please note that choosing a non-highlighted profile may require you to enter additional suitability information when selecting your individual investment strategies.

1-Conservative
 2-Moderate Conservative
 3-Moderate
 4-Moderate Growth
 5-Growth
 6-Maximum Growth

Discovery & Profiling Tools (Optional) The following Risk Tolerance Questionnaire is optional. It may or may not be required by your broker dealer.

Create a new risk profile by clicking below.

Risk Tolerance Questionnaire

Back Next

Account Wizard: Construct Portfolio

Home Clients **90** Tracking Center Investments Retirement Financial Planning

Construct Portfolio

Client Profile **Construct Portfolio** Fees Portfolio Details Account Setup Create Documents

Smith, John and Jane

REGISTRATION SECTION

Registration Type

Registration Name

Custodian

ACCOUNT SECTION

To create a proposed account, in account type be

Investment Amount

Account Type

[Learn More](#)

Create Proposal

- Select Registration
- Select Custodian
- Select Investment
- Propose for all accounts in the household

Account Wizard: Construct Portfolio

Construct Portfolio

Smith, John and Jane

REGISTRATION SECTION

Registration Type:

Registration Name:

Custodian:

[Add Registration](#)

Client Risk Assessment Guide
Proposed accounts and/or scenarios falling outside of the darker blue range may require additional suitability information.

Conservative > Moderate > Maximum Growth

Profile:

Proposed Accounts: _____

ACCOUNT SECTION

To create a proposed account, enter an investment amount and select an account type below.

Investment Amount:

Account Type: [Learn More](#)

To add another proposed account, enter an investment amount and select an account type above.

Core Markets	66.67%
Tactical Strategies - Enhanced Return Focus	6.67%
Tactical Strategies - Limit Loss Focus	0.00%
Diversifying Strategies - Equity Alternatives	0.00%
Diversifying Strategies - Bonds & Bond Alternatives	26.67%
Additional Investments	0.00%
Total Portfolio	100.00%

SUMMARY SECTION

Proposed Accounts - Blended Profile : 3.7		\$1,500,000
John Smith and Jane Smith, Joint Tenant with Rights of Survivorship, Joint Tenant with Rights of Survivorship, Fidelity Institutional Wealth Services	Edit Add Account Delete Registration Save As a Template	
Multiple Strategy Account, Profile 4, Moderate Growth	Edit Account Delete Account	\$1,000,000
Jane Smith, Traditional IRA, Traditional IRA, Fidelity Institutional Wealth Services	Edit Add Account Delete Registration Save As a Template	
Multiple Strategy Account, Profile 3, Moderate	Edit Account Delete Account	\$500,000

Account Wizard: Advisor Fee

Fees

Client Profile Construct Portfolio **Fees** Portfolio Details Account Setup Create Documents View Documents

Smith, John and Jane - Moderate Growth Show Details: Percent | Dollars

Set Your Financial Advisor Fee

Flat Fee OR

Tiered Fee

[Create New Custom Fee Schedule](#)

Make this my default Fee Schedule

Client Portfolio Fees Summary

100 BPS Flat FA Markup

The fees displayed are based on a total portfolio value of \$1,500,000

	Proposed
Financial Advisor	1.00%
Platform	0.39%
Total	1.39%

[View Client Portfolio Fees Detail](#)

Proposed New Account(s): \$1,500,000

Apply a different Flat or Tiered Financial Advisor Fee Schedule to individual accounts.

	Flat Fee	Tiered Fee
John Smith and Jane Smith, Joint Tenant with Rights of Survivorship, Moderate Growth, Fidelity Institutional Wealth Services, \$1,000,000	<input type="text" value="1.00%"/>	<input type="text" value="Select Tiered Fee Schedule"/>
Multiple Strategy Account, Profile 4, Moderate Growth, \$1,000,000 View Account Fees Schedules	<input type="text" value="1.00%"/>	<input type="text" value="Select Tiered Fee Schedule"/>
Jane Smith, Traditional IRA, Moderate Growth, Fidelity Institutional Wealth Services, \$500,000	<input type="text" value="1.00%"/>	<input type="text" value="Select Tiered Fee Schedule"/>
Multiple Strategy Account, Profile 3, Moderate, \$500,000 View Account Fees Schedules	<input type="text" value="1.00%"/>	<input type="text" value="Select Tiered Fee Schedule"/>

[Back](#) [Next](#)

Fee Schedule Types

- Flat fee on all assets
- Create custom Tiered Schedule
- Set at the Household or have different schedules for each account
- Double click on View Client Portfolio Fees Detail to see breakdown for each account

Account Wizard: Portfolio Review

Portfolio Details

Client Profile | Construct Portfolio | Fees | Portfolio Details | Account Setup | Create Documents | View Documents

Summary Fee Schedule Combined Separated

Smith, John and Jane - Moderately Aggressive

Hide all

Proposed Accounts \$1,500,000

New Scenario

Add Account Delete

John Smith and Jane Smith, Joint Tenant with Rights of Survivorship	\$1,000,000
Multiple Strategy Account, Profile 4, Moderate Growth	100.00%

Add Account Delete

Jane Smith, Traditional IRA	\$500,000
Multiple Strategy Account, Profile 3, Moderate	100.00%

Include Funded Accounts Hide all

Funded Accounts(0) \$0

Market Benchmark

Profile 1 (20% Equity/80% Bonds)

Visibility [Grid Icon]

Time Period

3 Years 5 Years 10 Years All

Back

Account Setup

Preview Proposal

Asset Allocation & Holdings

Equity	69.09 %
Fixed Income	16.16 %
Cash and Cash Alternatives	2.34 %
Alternative Investments	12.40 %
Total	100.00 %

Historical Cumulative Performance

Name	Cumulative Return, %	Maximum Drawdown	Maximum Drawdown Duration (in months)	Maximum Drawdown Recovery Duration (in months)
SG Trend Index (Alternatives)	33.55	-20.71 %	46.00	73.00
Profile 1 (20% Equity/80% Bonds)	26.24	-22.07 %	13.00	-

↑

↑

Account Wizard: Account Setup

The screenshot shows the eWealthManager interface. At the top, there's a navigation bar with 'Clients', 'Tracking Center' (with 87 items), 'Investments', 'Retirement', 'Financial Planning', 'Business Consulting', 'Marketing', 'Service Center', and 'Account Wizard' (highlighted). Below this is a sub-navigation bar with 'Client Profile', 'Construct Portfolio', 'Fees', 'Portfolio Details', 'Account Setup' (highlighted), 'Create Documents', and 'View Documents'. The main content area shows the account holder information: 'John Smith and Jane Smith, Joint Tenant with Rights of Survivorship'. Underneath, there's a section for 'Account Holder(s)' with a sub-header 'Account Holder(s)' and a prompt: 'Provide name and information for the account holder(s) to be listed on the account.' A yellow button 'Add Account Holder(s)' is visible. A blue tooltip is open over this button, showing 'Add New' and 'Import from REDTAIL'. To the right of the main content area is a grey arrow button labeled 'Account Information'. The left sidebar contains a list of account details: 'John Smith and Jane Smith, Joint Tenant with Rights of Survivorship', 'Account Holder(s)', 'Account Information', 'Account Features', 'Funding Method', 'Jane Smith, Traditional IRA', 'Online Access & Document Delivery', and 'Review All Accounts'.

Add Optional Account Features & Funding Method

- Add Bank Instructions
- SWP
- Automatic Contributions
- Dollar Cost Average
- Establish Planning and Consulting Fee
- Power of Attorney
- Restrict Cash
- Suspend Trading

Account Wizard: Create a New Client

Navigation: Clients | **87** Tracking Center | Investments | Retirement | Financial Planning | Business Consulting | Marketing | Service Center | **Account Wizard**

Account Profile | Construct Portfolio | Fees | Portfolio Details | **Account Setup** | Create Documents | View Documents

John Smith and Jane Smith, Joint Tenant with Rights of Survivorship >

Jane Smith, Traditional IRA >

Online Access & Document Delivery

Review All Accounts

*Last update saved:
04/15/2025 12:54 PM PDT*

Online Access & Document Delivery

Access to eWealthManager

Provide your client's email address along with access level to initiate their enrollment for eWealthManager.

Full Access ▼

Email address
john@smith.com
[Edit](#)

AssetMark Quarterly Performance Review (QPR)

QPR is a roll up of all client accounts in one report. Any changes to the QPR delivery instructions will change the QPR delivery instructions on file for all accounts related to this client.

eDelivery to Client ▼

Email address
john@smith.com
[Edit](#)

Review All Accounts

Account Wizard: Review All Accounts

John Smith and Jane Smith, Joint Tenant with Rights of Survivorship >

Jane Smith, Traditional IRA >

Online Access & Document Delivery

Review All Accounts

Last update saved:
04/15/2025 01:00 PM PDT

Review All Accounts

Please review the following information pertaining to your investment accounts. Section information that is required for the accounts to be opened and in good order.

Account Holder(s)

 John Smith 01/01/1980 Edit	 Jane Smith 12/31/1982 Edit
---	---

- ✓ Account Holder(s) [Edit](#)
- ✓ Account Information [Edit](#)
- ✓ Account Features [Edit](#)
- ✓ Funding Method [Edit](#)

Jane Smith, Traditional IRA

- ✓ Account Holder [Edit](#)
- ✓ Primary Beneficiaries [Edit](#)
- ✓ Contingent Beneficiaries [Edit](#)
- ✓ Account Information [Edit](#)
- ✓ Account Features [Edit](#)
- ✓ Funding Method [Edit](#)

Online Access and Document Delivery

- ✓ Access to eWealthManager [Edit](#)
- ✓ AssetMark QPR [Edit](#)

[Online Access & Document Delivery](#)

[Proceed to Create Documents](#)

Account Wizard: eSignature or Create Documents

Home Clients 87 Tracking Center Investments Retirement Financial Planning Business Consulting Marketing Service Center **Account Wizard**

Client Profile Construct Portfolio Fees Portfolio Details Account Setup Create Documents **View Documents**

View Documents

Important information regarding your paperwork: The barcode on your Service Agreements and Custodial Paperwork has the information we need to establish your accounts. When handling, please ensure that the barcode is not blurred, smudged, or damaged. Altering or obscuring the barcode will cause delays.

4/15/2025 1:07:03 PM PST	AMOUNT	STATUS	ELECTRONIC SIGNATURE Eligibility Details
Joint Tenant with Rights of Survivorship: Multiple Strategy, Multiple Strategy Account, Profile 4, Moderate Growth Traditional IRA: Multiple Strategy, Multiple Strategy Account, Profile 3, Moderate			
<input checked="" type="checkbox"/> Account Application (including account features) <input checked="" type="checkbox"/> Agreements and Disclosures (Provide your client with the required agreements and disclosures prior to account opening)	\$1,500,000	Proposed	eSignature
Select documents above to view, print or save as:			
One Combined Document		Separate Documents ←	

Account Wizard: eSignature

Electronic Signature - Signer Information

Review and confirm the signer information. Edits to the Account Holder can be made in the Account Setup.

In Person: the signer completes the signing process with the Financial Advisor

By Email: the signer receives an email notification to complete the signing process

Full Name	Email Address	Phone Number	eSign Method	
			IN PERSON	BY EMAIL
Jane Smith	jane@smith.com	925-521-9999	<input type="radio"/>	<input checked="" type="radio"/>
John Smith	john@smith.com	925-521-0000	<input type="radio"/>	<input checked="" type="radio"/>

Please note that all pending DocuSign envelopes will expire within 30 days. Additionally, as noted in the Terms of Use (link located in eWealthManager site footer), you are responsible for the confidentiality and use of your log in credentials and password. The details you provide will be used to authenticate the individual before they sign the included documents. It is your responsibility to ensure you are collecting and entering accurate information that is legitimately that of the designated signor(s).

Optional Broker Dealer Routing

You are responsible to monitor the account approval process with your BD/OSJ and to provide the account paperwork needed to complete the approval process. To send a copy of the application to your BD/OSJ when client signatures are complete, designate an individual recipient below.

Full Name	Email Address	Phone Number	Email Copy	
<input type="text"/>	<input type="text"/>	<input type="text"/>	NO	YES
			<input checked="" type="radio"/>	<input type="radio"/>

Note: The individual recipient will be required to perform online identity verification to view the application.

Financial Advisor Approval

You are authorized to set up the account application for electronic signature, but Financial Advisor approval is needed to begin the signature process. When setup is complete an email will be sent to the Financial Advisor for review and approval.

Additional Broker Dealer or Advisory Firm Documents

Upload BD or RIA documents to include in the electronic signing process. AssetMark will not receive these additional documents. Only the account establishment documents will be routed to AssetMark after the electronic signing process has completed.

Additional documentation such as drivers licenses or account statements should be attached during the DocuSign process.

NO YES

Cancel

Continue

Tax Management Services (TMS)

Provide Tax Management Throughout a Client's Investment Journey

Tax Management Services is a comprehensive tax solution that includes:

1. the tax-efficient **transition of assets** from an existing investment strategy into a new investment strategy
2. ongoing **tax-loss harvesting**
3. tax-efficient **rebalances**
4. tax-efficient **client directed activity**

Broaden your tax management service to:

- Clients with smaller account sizes
- Access more investment strategies
- Use strategies with lower investment minimums to expand access



AssetMark's Personalized Tax Management Services

TMS Proposal integrated to eWealthManager

Assetmark Tax Management Services Proposal Proposal Date August 18, 2023

Estimated Tax Benefits

	No Tax Mgt.	Low	Medium	High	Very High
	\$0	\$26,562	\$33,572	\$43,164	\$54,364
Tax Sensitivity	No Tax Management	Low	Medium	High	Very High
Predicted Tracking Error *	+/-0.00%	+/-0.72%	+/-1.22%	+/-2.42%	+/-5.38%
Transition Amount	\$714,985	\$497,714	\$454,139	\$373,652	\$234,639
Net Realized Long Term Gain/Loss	\$202,654	\$116,008	\$86,555	\$46,252	-\$16,266
Net Realized Short Term Gain/Loss	\$15,030	\$470	\$470	\$470	\$470
Estimated Tax Amount	\$54,364	\$27,802	\$20,792	\$11,200	\$0.00
% of Portfolio Transitioned	96.9%	67.5%	61.6%	50.7%	31.8%

Client Account Tax Management Summary

Strategy Name	Federal Long Term Tax Rate	Cash Restriction
Multiple Strategy Account, Profile 4, Moderate Growth	23.9%	No
Portfolio Value	Federal Short Term Tax Rate	Security Restriction
\$737,584	40.8%	No
Tax Management Fee	State Tax Rate	GICS Industry Restriction
0.1%	0%	No
Annual Realized Gain Budget	No	

Tax Management balances the trade off between Capital Gains Realization vs. Tracking Error to Model

Capital Gains Realization
●
●
 Tracking to Target Model

ASSETMARK. 1

Efficient Client Onboarding

Account Wizard Client, Sample

Client Management

Change Investments
Change Your Financial Advisor Fee
Add a Registration
View Document History

Add Securities-Backed Line Of Credit (SBLOC) Feature

Follow the steps below to add this feature to any of your client's eligible accounts. To continue working on an application you started previously, you can access the platform by going to Investments > Lending Solutions > Cash Advantage Lending and clicking on "Launch Cash Advantage Lending" button.

- Click on the "Cash Advantage Lending" button
- Select the accounts to which you wish to add the feature from the list of eligible accounts presented
- Select Continue to be taken to AssetMark's Cash Advantage Lending website for completion of the SBLOC application

Please note: If you make any changes to a Proposed account after you've created your documents, you will need to go back to the Create Documents tab, and select Create Documents again so all your changes are incorporated into the Account Establishment Documents.

Account Management Choose an account below to view available options:

Proposed Accounts (Proposed) - \$500,000
 Sample Client, Individual, AssetMark WealthBuilder, Profile 3, (Account # BKU452160, Funded): \$131,828

Sample Client, Individual, AssetMark WealthBuilder, Profile 3,
Account Status: Funded Account Value: \$131,828 Custodian: Pershing Advisor Solutions

Actions available for this account:

1. Request	2. Type	3. Form
Account Information Change Account Linking Account Termination Additional Account Documentation Billing Check Writing Client Agreement Change Contributions Move Assets Between Accounts New Account - Existing Registration Planning and Consulting Fee Save Customization Top Financial Services Transfer Activity	Tax-Managed Services	Click Edit to change your Tax-Managed Services.

Complete and Print Complete and eSign

ASSETMARK.

Ongoing Tax Savings Report

AssetMark Tax Management Services Quarterly Tax Savings Report

John S. Sample Taxable Account Account Number: XXXXX111 GPS Accumulation, P1

TMS Enrollment Date September 30, 2023 Estimated Tax Benefits as of September 30, 2023

The Quarterly Tax Savings Report summarizes the realized capital losses in your account generated by the tax optimizer, and its associated tax savings, over the specified quarter. Tax Management Services are delivered via eWealthManager and powered by an integrated tax optimizer.

Selected Tax Sensitivity

Low Medium High Very High

Medium

% Estimated Tax Savings (Annualized)		% Estimated Tax Savings	
1.15%		\$2,989	

Losses

Short Term	Long Term
\$4,777	\$4,064

Tax Savings

Short Term	Long Term
\$2,054	\$935

Settings

Tax Sensitivity	Annual Realized Gain Budget	2018	2019	2020	2021	2022
Medium	(Short term) \$3,500 (Long term) \$62,500	0.65%	0.36%	0.22%	0.22%	0.54%

Account Summary

Account Value	Cost Basis	Unrealized Gain	Unrealized Tax Liability
\$1,038,403	\$817,575	\$220,828	\$50,790
Federal Tax Rate	Federal Tax Rate	State Tax Rate	
(Long Term) 15%	(Short Term) 35%	8%	

Restrictions

Cash	Securities	GICS Industry
\$10,000	Yes	Yes

Intuitive Digital Client Experience
Via Single Sign-On to eWealthManager®

What's Next?

Updates for you and your office



Ready now

If you'd like to get ahead of the curve and write new business with AssetMark, we will connect you with all of the resources and professionals you need to get started!

- Contact your EA team or AssetMark at NewAdvisorQuestions@assetmark.com
- Call us at 800-664-5345, option 2
- Add your name and email in the Q & A feature of this webinar and we'll reach out to you!



Ready at Transition

Want to wait until migration to get started with AssetMark? Here's what you can expect:

Approx 30-45 days before transition:

- Your AssetMark agent code(s) will be created
- You will receive an invitation via email to create your eWealthManager.com login credentials
- Your AssetMark service Relationship Manager will reach out with help
- You'll be able to explore the site, set your preferences for contact methods, Tracking Center notifications and more at this time
- Please note that your client data will not be populated until transition

At transition:

- When you log in to eWealthManager on Monday, May 4, your client account data and performance history will be available for you in the client section
- AssetMark will provide you with access to the applicable custodial systems using your AssetMark agent ID

Getting Started with eWealthManager

Approximately thirty days before transition, you will receive an invitation to eWealthManager via email and you'll simply need to select the "Click Here" link from the email and complete the simple New User Registration Form.



The screenshot shows the 'New User Registration Form' for eWealthManager. The form includes the following fields: First Name, Preferred Name/Nick Name, Middle Name, Last Name, Phone (with three separate input boxes), and Email. A 'Next' button is located at the bottom right. A note below the email field states: 'Valid email address is required to proceed. Example: name@example.com'.

You'll create a Username and Password and establish security questions. Once submitted, you'll be ready to access eWealthManager and get to work.



The screenshot shows the 'Congratulations!' screen for eWealthManager. It features a yellow 'Login eWealthManager' button. The text on the screen reads: 'Congratulations! You have successfully completed the registration process. Please click on the button below to access your account.'



Existing eWealthManager users will be able to link their new Efficient Advisors Agent Codes to their current login credentials

Power Hour Topics

Power Hour 1

Billing

New to you at AssetMark

Service

- AssetMark Retirement Services
- AssetMark Service & Support

Introduction to eWealthManager

- New Accounts and the Client Experience
- Tax Management Services

Power Hour 2

User Options/Access

- Shared Access User
- Office Administrator
- How to grant shared access

Introduction to eWealthManager

- Tracking Center
- Account Maintenance
- Investment Changes

Business Consulting

Advisor Benefits

Power Hour 3

Client Investor Portal

Introduction to eWealthManager

- Client and Account Lists
- Initiating Maintenance
- Preferences
- On Demand Reports

Cash & Lending Services

Private Markets

Power Hour 4

Client Updates

- Investor Portal Invitations

Investing Consulting & Due Diligence

Advanced Planning

Efficient Advisors Information Page



www.assetmark.com/efficientadvisors

Elevate Your Practice

Welcome to AssetMark. Let's grow together.



and



ABOUT ASSETMARK

Who Are We?

AssetMark is an industry-leading wealth platform with a proven track record of growth and innovation. Founded in 1996 and based in Concord, California, we now have over 1,100 employees who are singularly focused on making a difference in the lives of advisors and their clients. Today, the AssetMark platform has over \$148 billion in assets and serves over 10,500 financial advisors and over 318,000 investor households.

Advisors work with AssetMark because we share a common mission: making a difference in the lives of investors. We serve independent financial advisors of all models at every stage of their journey; this includes RIAs, Broker Dealer-affiliated advisors, and advisors at Credit Unions and Banks.

Our platform is strategically built to empower independent advisors to grow and scale their business by outsourcing specialty services that would otherwise require significant investments of time and money. The key pillars of AssetMark's differentiated services include integrated digital solutions, curated investment strategies, and highly customized consulting and service support.

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Questions?



Join us

February 19 at 1pm ET

for our next integration

POWER HOUR WEBINAR

Thank you!

Important Information

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8725675.1 | 01/2026 | EXP 01/31/2028