Efficient Advisors to AssetMark, Inc. Integration

POST-INTEGRATION POWER HOUR 5.21.2026

Rachel Eversole

Vice President, Integration Management Office

Peter Hoversen

Vice President, Specialized Service

Jim Lastoskie

Vice President, Wealth Services

For financial advisor use only.

Efficient Advisors to AssetMark Overview

AssetMark, Inc. acquired Efficient Advisors in December 2025

Transition was completed over the weekend of May 1-3, 2026

What we'll cover today:

- **The Efficient Advisors experience at AssetMark – new benefits and capabilities you'll experience**

Transition Communications and Events

Thursday, 1/8
ACTION ALERT
integration email

Thursday, 1/22
1:30-2:30pm ET
POWER HOUR
integration webinar

Tuesday, 2/3
ACTION ALERT
integration email

Thursday, 2/19
1-2pm ET
POWER HOUR
integration webinar

Tuesday, 3/3
ACTION ALERT
integration email

Tuesday, 3/17
1-2pm ET
POWER HOUR
integration webinar

Thursday, 4/2
ACTION ALERT
integration email

Thursday, 4/23
1-2pm ET
POWER HOUR
integration webinar

Wednesday, 5/20
1-2pm ET
POWER HOUR
post - integration webinar

JAN | FEB | MARCH | APRIL | MAY

Discovery Events

Charlotte 2/24
Concord 2/26

Premier Advisor Meetings

Detroit 3/17
New Jersey 3/18
Rochester 3/19
Chicago 3/24
Philadelphia 3/25

Efficient Advisors Billing Change

Quarterly Billing in Advance Begins

Investment Mastery

Chicago 4/14

05/04/2026
Integration Complete

Accounts transitioned from Efficient Advisors to AssetMark

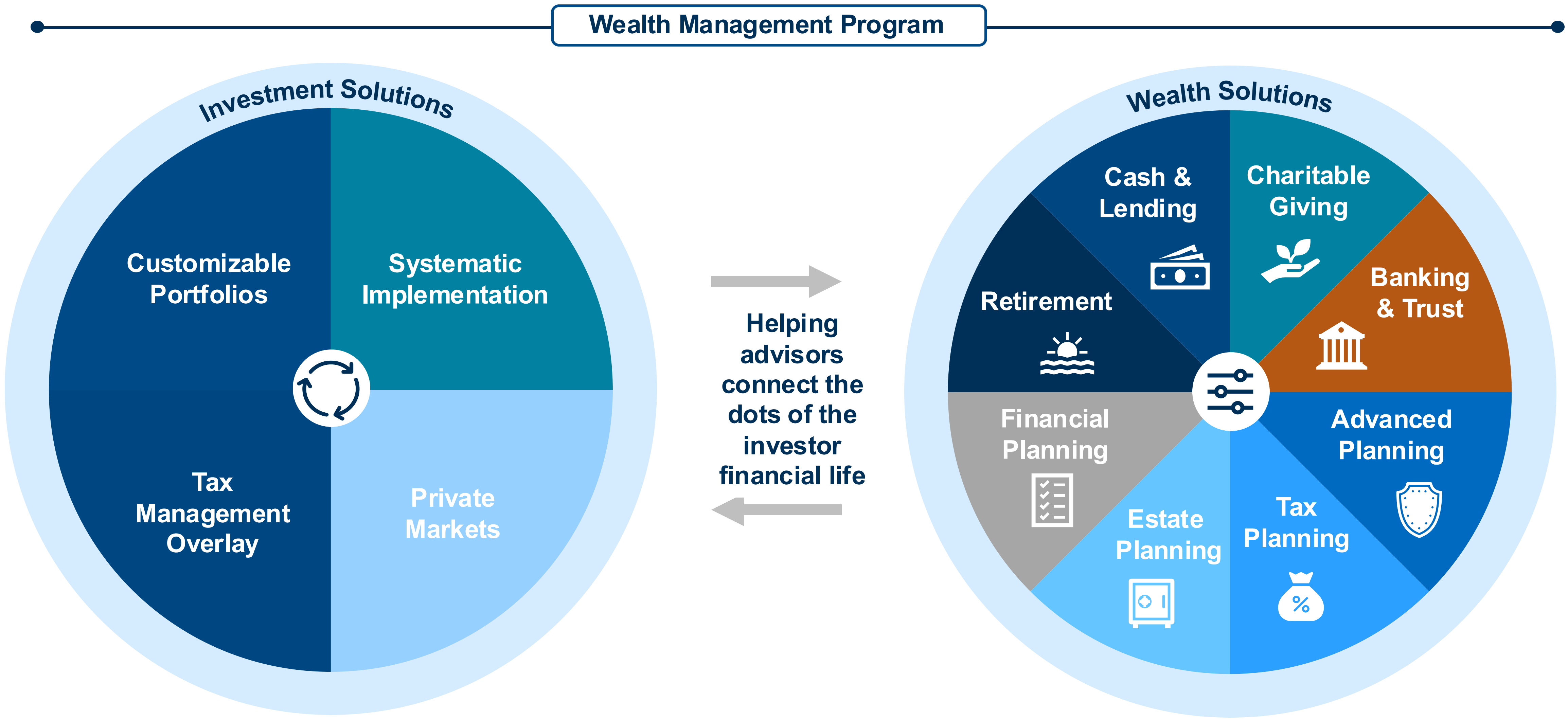
New to you with AssetMark

AssetMark Wealth Services Introduction

Jim Lastoskie, Vice President

May 2026

AssetMark helps advisors provide holistic wealth management services



We'll highlight 4 key services today…

Cash Services

- FDIC, CD and Ultra-Short options to support clients' cash and short-term investing needs

SDBA

- Technology and investments to manage clients' self-directed brokerage accounts (SDBA)

Lending Services

- Securities-backed line of credit (SBLOC) to support clients' liquidity needs

AssetMark Charitable

- Solution for clients' charitable giving needs: donor-advised funds (DAF) and single charity funds (SCF)

… each is a compelling opportunity to meet client needs and grow your practice

Cash Services

34% Cash holdings of HNW households[1]

SDBA

34% average share of financial assets in employer sponsored retirement accounts[2]

Lending Services

84% of AssetMark advisors reported that using SBLOC led to higher client satisfaction[3]

AssetMark Charitable

70% of HNW clients want to talk philanthropy with their advisor[4]

1. KKR 2023 Family Capital Survey; 2. ICI Quarterly Retirement Market Data 4Q25. 3. SBLOC Survey by AssetMark Trust Company, June 2024. 4. *The Annual DAF Report 2025*, National Philanthropic Trust.

Cash Services: We offer a range of options that enable you to customize liquidity, safety and return to meet your clients' needs

	Cash Accounts		Investments		
	High Yield Cash	**CD Plus**	**Money Market Funds**	**Savos Laddered Short-Term U.S. Treasuries**	**Savos Fixed Income Ultra-Short Duration**
Minimum	$100k	$25k	$25k	$25k	$25k
Liquidity	Next day	At maturity	Next day	At maturity (Can be sold early)[2]	Next day
Risk of Loss	FDIC insured	FDIC insured	Low (Interest rate risk)	Low (Interest rate risk)	Low-Medium (Interest rate and credit risk)
Income Tax Treatment	Federal: Taxable State: Taxable	Federal: Taxable State: Taxable	Federal: Taxable State: Tax-Exempt	Federal: Taxable State: Tax-Exempt	Federal: Taxable State: Taxable
APY	1.76% - 2.27%	2.78% - 3.43%	3.32% - 3.36%	3.60%	4.30%

As of February 25, 2026. Source: AssetMark. Yield to maturity (YTM) is the total (annual rate of) return anticipated on a bond if held until maturity; assuming all interest payments are reinvested at the original rate. Source: FactSet. Yields and duration vary over time.

Lending Services: We offer a Securities Backed Line of Credit (SBLOC) solution that offers flexible access to liquidity at competitive rates

Competitive Rates[1]

- Attractive rates across all account sizes

Transparency

- Full visibility to loan status

Decisions in Days

- 3-5 business days

Digital Experience

- Modern and intuitive experience

[1] Rates subject to change.
[2] Applies to single, joint, and revocable trusts. More complex irrevocable trusts and business accounts can take several week to originate.

SDBA: We launched a Self-Directed Brokerage Account (SDBA) Solution on April 17th, enabling you to manage your clients' employer sponsored retirement balances

AssetMark is launching versions of existing models where a fee can be paid from assets in the account*

Strategy	Risk Profiles	Expense Ratio Range*	FA Compensation*
AssetMark Global GuideMark Market Blend	2-3, 5-6	1.74% - 1.81%	0.75%
AssetMark US GuideMark Market Blend	2-3, 5-6	1.68% - 1.69%	0.75%
GPS Funds Accumulation (no Alts)	1 - 5	1.61% - 1.79%	0.75%
GPS Funds Accumulation	1 - 5	1.60% - 1.78%	0.75%
GPS Funds Distribution	2 - 4	1.76% - 1.82%	0.75%
GPS Funds Distribution (no Alts)	2 - 4	1.76% - 1.84%	0.75%
GPS Focused Core Markets	1 - 5	1.56% - 1.77%	0.75%
GPS Focused Multi-Asset Income	2 - 4	1.68% - 1.85%	0.75%
GPS Focused Tactical / GPS Focused Low Volatility	1 - 5	1.61% - 1.85%	0.75%

These SDBA Mutual Fund strategies have no platform fee

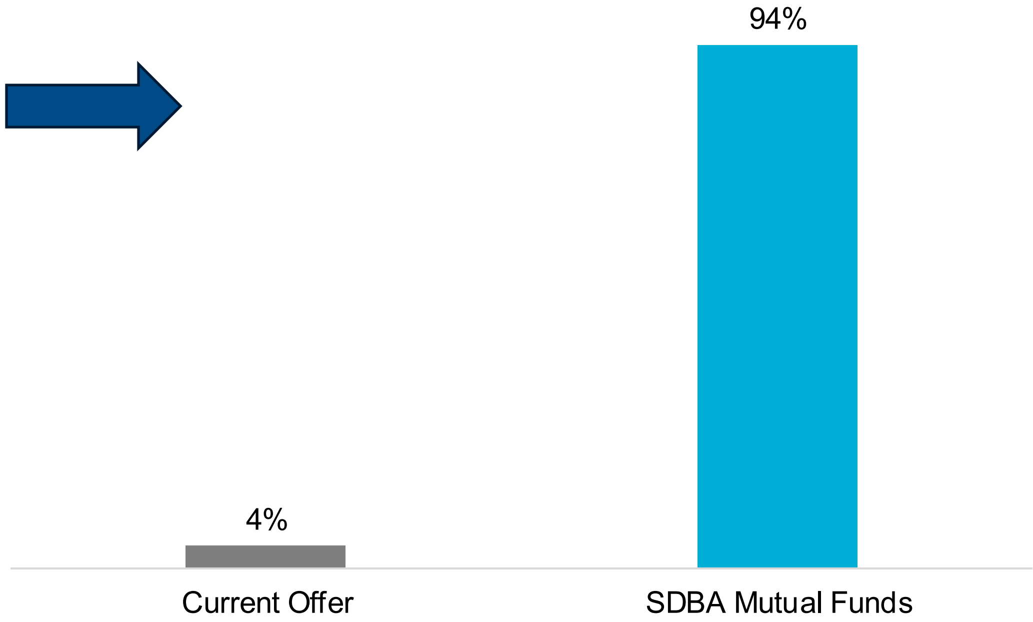
* Funds include an Administrative Services Fee of 100 basis points for AssetMark's services provided to fund shareholders. AssetMark then pays 75 bps to advisors. All strategies have a minimum of $10k.

SDBA: Our solution solves common SDBA challenges and greatly expands your market opportunity

Solution solves common SDBA challenges

CHALLENGE	SOLUTION
Fee pull restrictions	75 bps FA compensation
Investment restrictions	Eligible with most plans
No holistic view of wealth	Holistic view & reporting
Investment options that don't align with your philosophy	Diversified strategies incorporate AssetMark's best thinking
High fees	Lower fees

Percentage of plans with SDBA where an advisor can be paid by the plan or fund*



* Source: AssetMark 2025 research, based on 9,839 plans.

EA models are available in SDBA upon request

AssetMark Charitable: Built for advisors who want charitable planning to deepen client engagement — not sit outside the relationship

Traditional charitable giving programs were built for administration, not for strengthening advisor-client relationships

TRADITIONAL DAF PROVIDERS	ASSETMARK CHARITABLE
Limited investments	AssetMark platform*
Limited advisor visibility	Full visibility
One-time gifting focus	Integrated into holistic wealth planning & multi-gen family collaboration
Generic client experience	Fully digital, intuitive client experience
Reactive tax planning	Tools and RMD solution to plan for taxes

We offer dedicated specialists to support your efforts

Cash, Lending & DAF

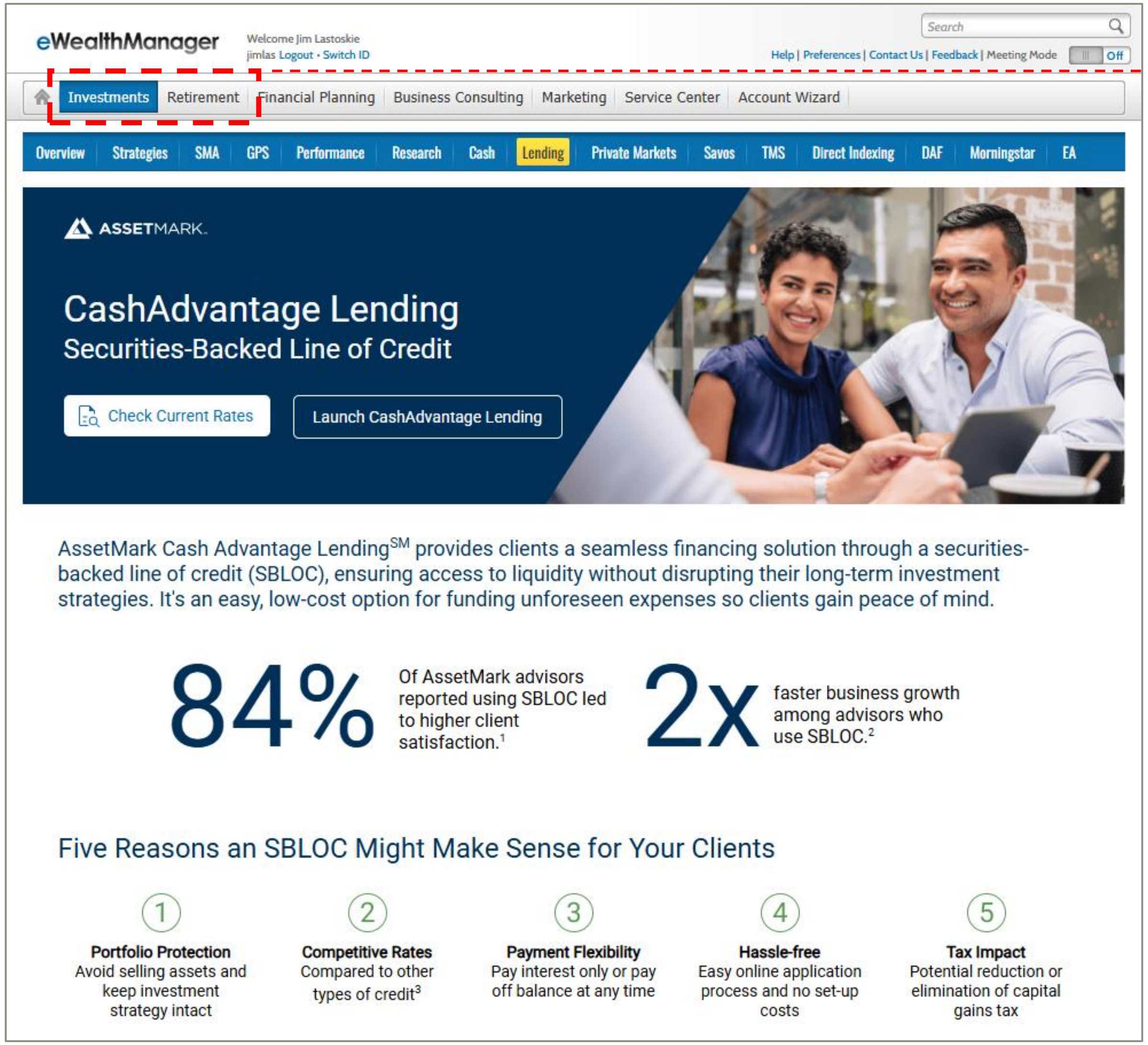
Eric Alexander
Senior Wealth Services Specialist

Self Directed Brokerage Accounts (SDBA)

Robert Jesch
SDBA Business Development Consultant - West

Logan Cummings
SDBA Business Development Consultant - East

To learn more, talk to your sales rep or visit the AssetMark web portal



Go to “Investments” or “Retirement” tabs on the AssetMark web portal for an overview of solution and marketing

Short-Term Cash Restriction or Account Suspension?

Short-Term Cash Restriction

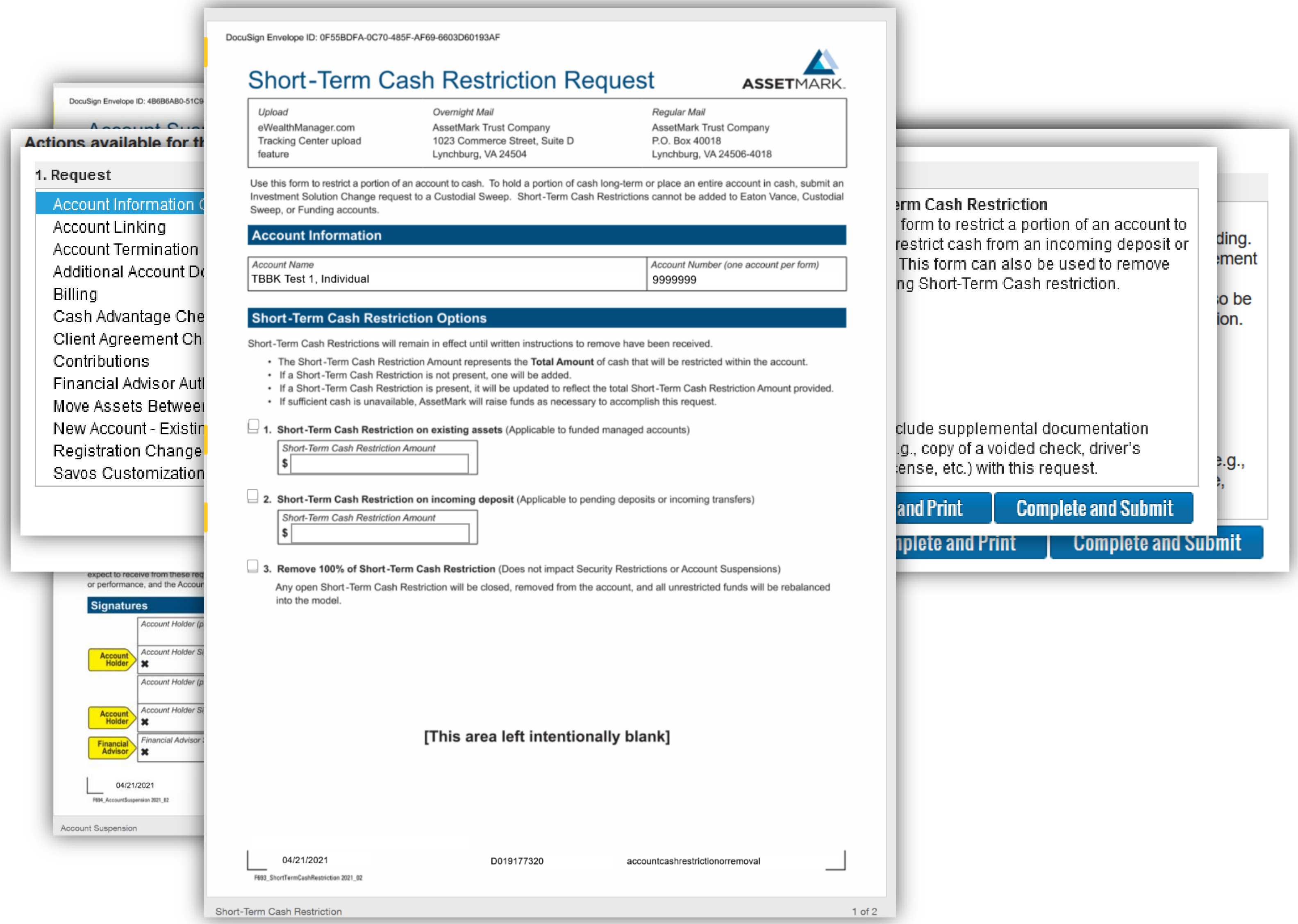
Description	• A short-term cash restriction is used to restrict **a portion** of cash from investing/trading into a managed account
Why	• Often, it is a short-term solution for a future withdrawal or transfer out to another firm
Helpful Hints	• Restricted cash can affect the underlying performance of the managed account • Restricted cash is included in the billable amounts used to calculate Advisor and all Platform fees, including Managers/Strategists. • Cash stays restricted until AssetMark receives instructions to remove the cash restriction • Does not qualified for same-day trading

Account Suspension

Description	• A suspension is used to suspend the **entire** managed account from investing/trading in the managed account
Why	• A client may want to suspend the account due to current market conditions or if they are trying to decide on a new strategy to invest in
Helpful Hints	• Account is still invested in the market, and placing a suspension does not mitigate market risk other than preventing rebalancing • It will affect the performance of the managed account • Account stays suspended until AssetMark is instructed to remove suspension • If/when account is unsuspended it will rebalance, which could cause gains/losses • Does not qualified for same-day trading

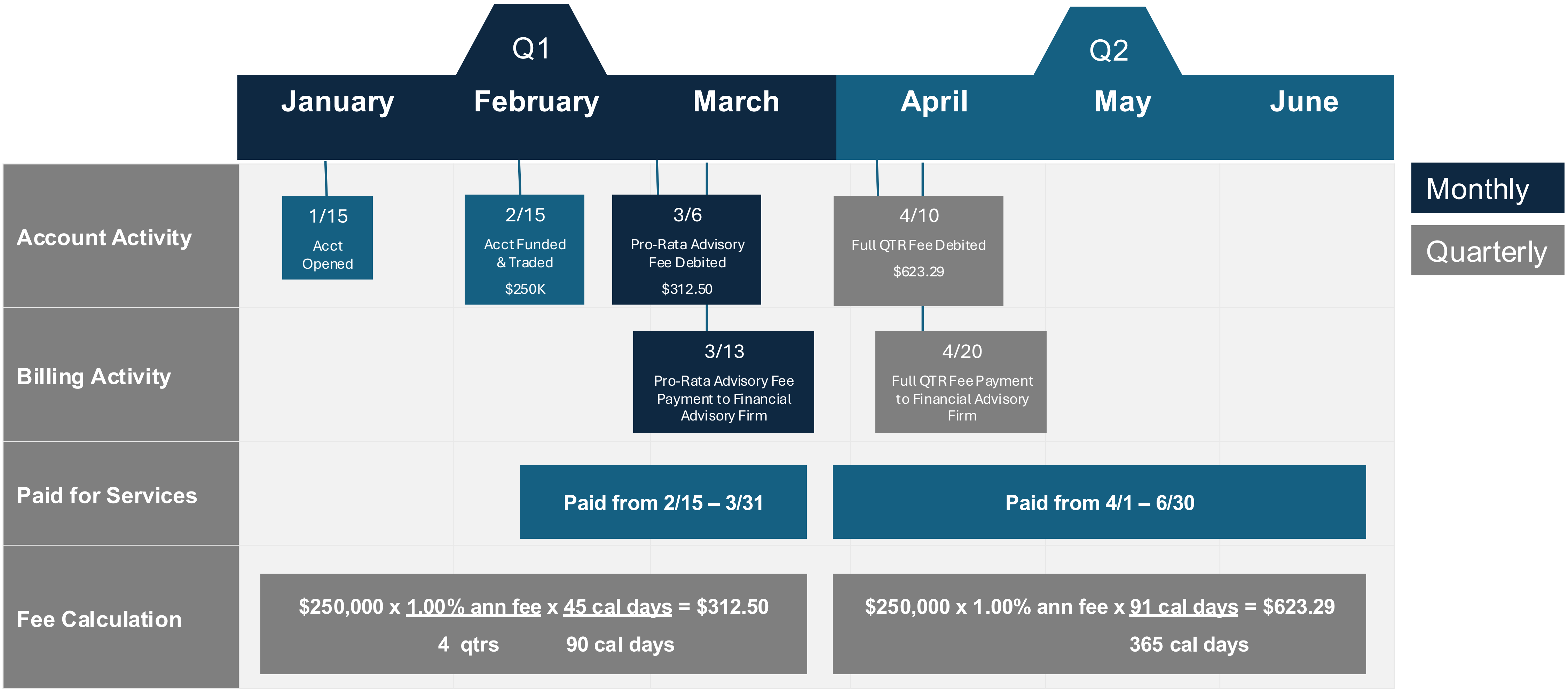
Short-Term Cash Restriction or Suspension

- Forms can be located in the Account Wizard
- Now, two separate forms
- Restriction amount represents the total amount of cash that will be in the account



Billing Process

Billing Timeline (example)



Billing Timeline (example)

	Q3			Q4		
	July	**August**	**September**	**October**	**November**	**July**
Account Activity	7/9 Full QTR Fee debited $630.14		9/1 Acct Closes	10/7 Pro-Rata Fee Credit Deposited $ 205.48		
Billing Activity	7/20 Full QTR Fee Payment to Financial Advisory Firm			10/14 Pro-Rata Fee Credit Deducted from Financial Advisory Firm		
Paid for Services	**Paid from 7/1 – 9/30**		**Fee Credit from 9/1 – 9/30**			
Fee Calculation	$\$250{,}000 \times 1.00\% \text{ ann fee} \times \frac{92 \text{ cal days}}{365 \text{ cal days}} = \$630.14$			$\$630.14 \times \frac{30 \text{ cal days}}{92 \text{ cal days}} = \$205.48$		

Monthly

Quarterly

Householding

- A household is all the accounts chosen to be grouped together for billing (immediate family)
- Advisory fees are based on the total value of a client's accounts, regardless of investment solution
- **Rule of thumb:** if you have clients with multiple accounts in different investment solutions and a total AUM of $250,000 or more, their fees will be lower

Example: Sample Client Portfolio

JP Morgan Profile 3: $500,000
Beaumont Profile 3: <u>$600,000</u>
$1,100,000 Total Portfolio Value

Householding – Example

JP Morgan - $500,000			$1,100,000			Weighted Fee	No Householding
Tier Min	Tier Max	Rate					
$0	$250,000	0.45%	$250,000 * .0045 =	$1,125			$1,125
$250,000	$500,000	0.40%	$250,000 * .0040 =	$1,000			$1,000
$500,000	$1,000,000	0.35%	$500,000 * .0035 =	$1,750			$0
$1,000,000	$2,000,000	0.30%	$100,000 * .0030 =	$300			$0
$2,000,000	$2MM+	0.20%	$0 * .0020 =	$0			$0
				$4,175	x ($500,000 / $1,100,000) =	$1,897.73	$2,125

Beaumont - $600,000			$1,100,000				
Tier Min	Tier Max	Rate					
$0	$250,000	0.90%	$250,000 * .0090 =	$2,250			$2,250
$250,000	$500,000	0.85%	$250,000 * .0085 =	$2,125			$2,125
$500,000	$1,000,000	0.80%	$500,000 * .0080 =	$4,000			$800
$1,000,000	$2,000,000	0.75%	$100,000 * .0075 =	$750			$0
$2,000,000	$2MM+	0.70%	$0 * .0070 =	$0			$0
				$9,125	x ($600,000 / $1,100,000) =	$4,977.27	$5,175

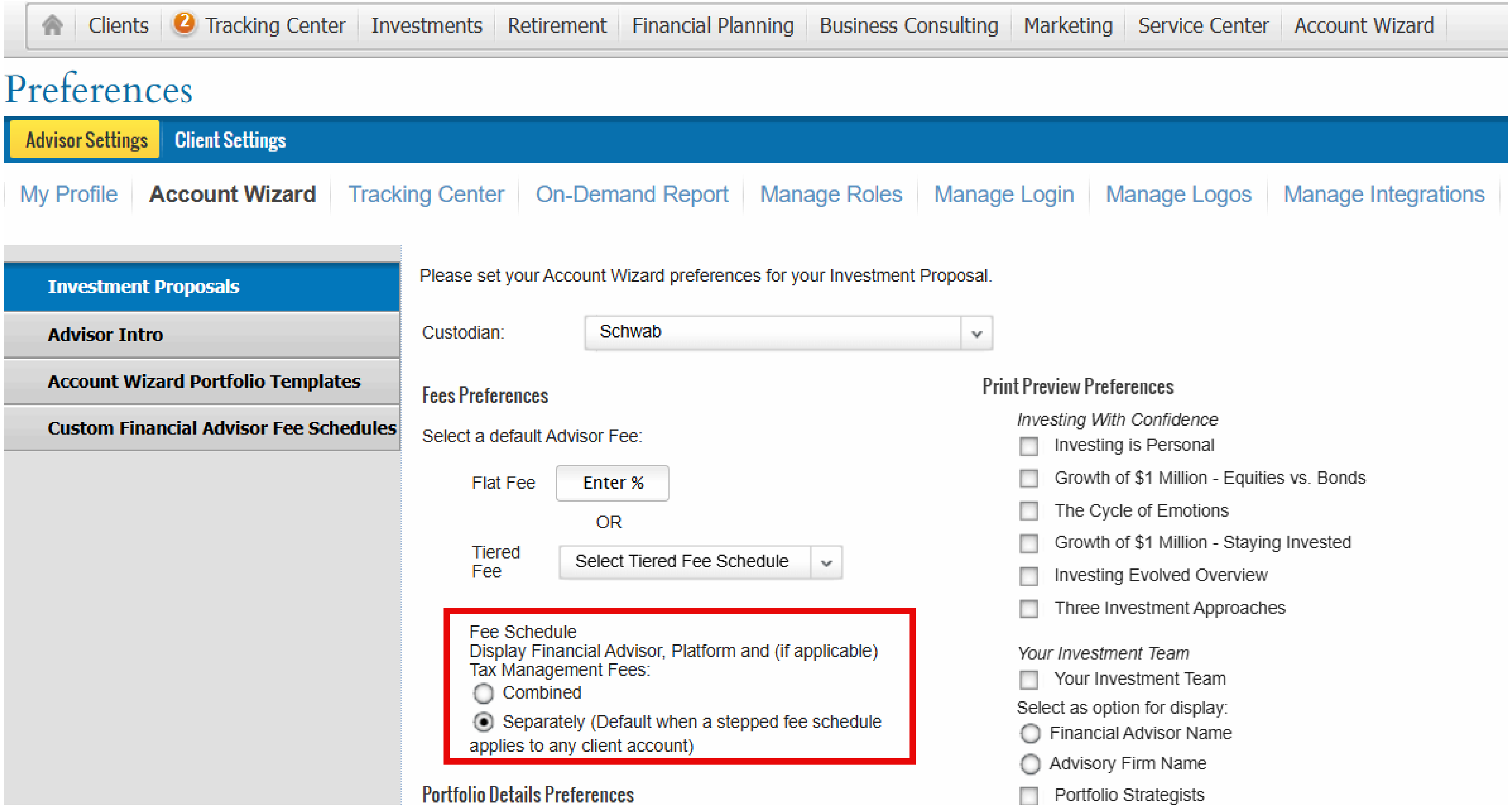
$6,875

$7,300

SAVINGS $425

Displaying Fees

Preferences – New Account Wizard



Displaying Fees

Combined
Displays Platform, Financial Advisor and TMS fees in one combined percentage and transaction

Separately
Displays Platform, Financial Advisor and TMS fees into separate percentages and transactions

Displaying Fees – Account Establishment Documents

Combined
Displays Platform, Financial Advisor and TMS fees in one combined percentage and transaction

Separately
Displays Platform, Financial Advisor and TMS fees into separate percentages and transactions

Client Billing Disclosure

ASSETMARK.

Client Billing Disclosure

Pursuant to your custody and advisory agreements, you (the "Client) agree to certain fees and expenses and authorize that they be deducted from your Account(s). These fees are primarily the asset-based fees of: 1. the Financial Advisor Fee, paid to the Financial Advisory Firm with which your Financial Advisor is associated; 2. the Platform Fee, which is paid to AssetMark and pays for the advisory, administrative, custodial and brokerage services to the Account; and, if you select the service, the Tax Management Service Fee. Collectively, these fees are called the Account's "Advisory Fee." The Advisory Fee is calculated and payable quarterly in advance. Listed below are the annual rate of the Advisory Fee and the quarterly dollar amount, assuming that all Accounts listed will be funded at the proposed dollar amounts and incorporating the benefit of fee schedule breaks due to householding, if applicable. Other fees, such as custodian termination fees, identified in the agreements and discussed in the Disclosure Brochure will also apply to the Account(s).

Below is an illustration of what the full calendar quarter fee will be if the Account(s) are funded in the listed amount(s):

ACCOUNT	AMOUNT[1]	ADVISORY FEE	TOTAL
AssetMark WealthBuilder, Profile 4	$500,000.00	$1,812.14	$1,812.14
Parametric Custom, All Equity, Profile 6	$250,000.00	$1,017.76	$1,017.76
GPS Focused Core Markets, P1	$10,000.00	$28.21	$28.21
JPMorgan, Profile 1, Conservative	$500,000.00	$1,658.59	$1,658.59
Aris Asset Builder, Profile 5, Growth	$500,000.00	$1,783.59	$1,783.59
Quarterly Fees	**$1,760,000.00**	**$6,300.30**	**$6,300.30**
Annual Rate[2] Proposed New Account(s)		1.43%	1.43%

Client Billing Disclosure

ASSETMARK.

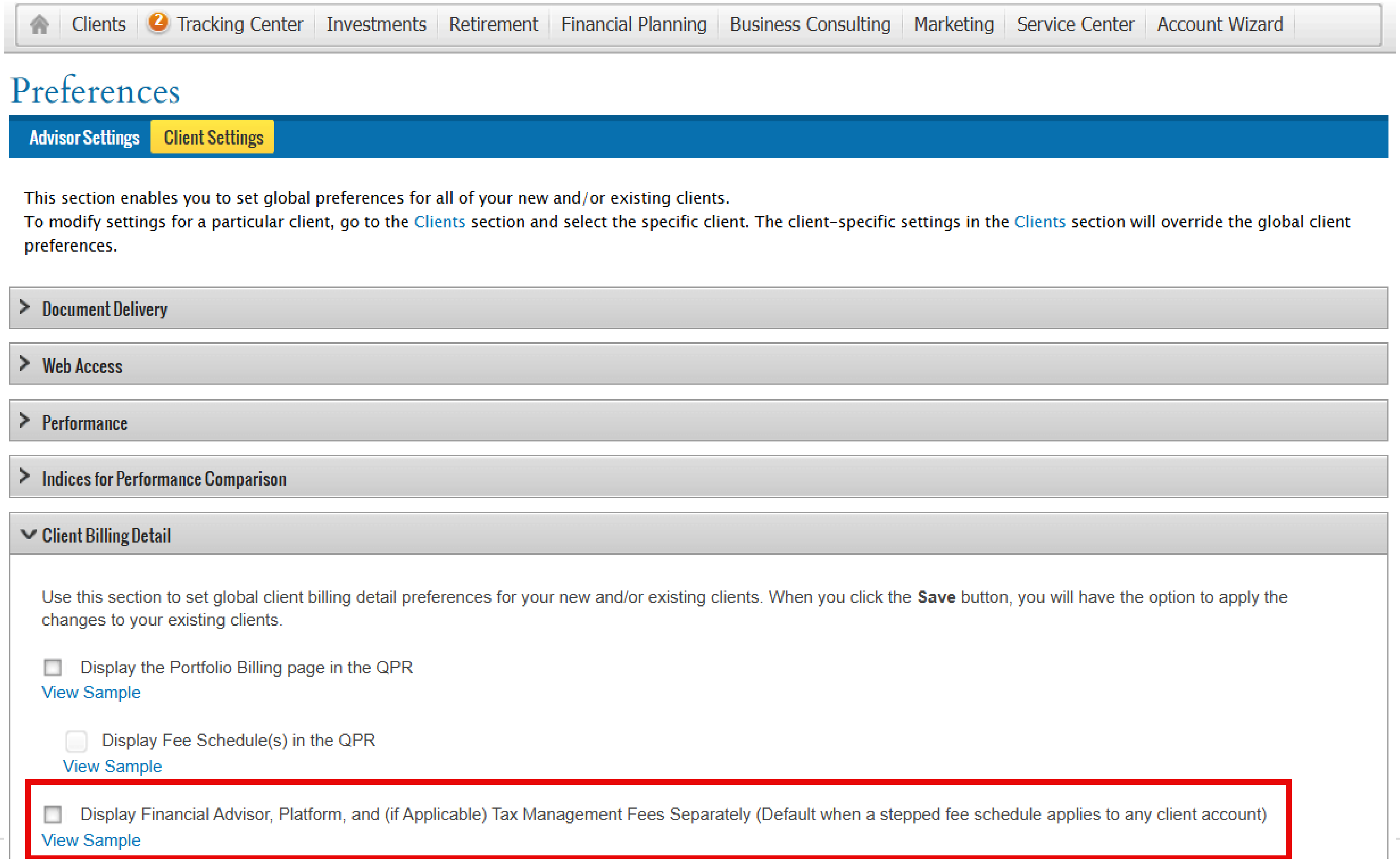
Client Billing Authorization and Disclosure

Pursuant to your custody and advisory agreements, you (the "Client) agree to certain fees and expenses and authorize that they be deducted from your Account(s). These fees are primarily the asset-based fees detailed below: 1. the Financial Advisor Fee, paid to the Financial Advisory Firm with which your Financial Advisor is associated; 2. the Platform Fee, which is paid to AssetMark and pays for the advisory, administrative, custodial and brokerage services to the Account; and, if you select the service, the Tax Management Service Fee. These fees are calculated and payable quarterly in advance. Listed below are the annual rates of these fees and the quarterly dollar amounts, assuming that all Accounts listed will be funded at the proposed dollar amounts and incorporating the benefit of fee schedule breaks due to householding, if applicable. Other fees, such as custodian termination fees, identified in the agreements and discussed in the Disclosure Brochure will also apply to the Account(s).

Below is an illustration of what the full calendar quarter fee will be if the Account(s) are funded in the listed amount(s):

ACCOUNT	AMOUNT[1]	FINANCIAL ADVISOR FEE	PLATFORM FEE	TAX MANAGEMENT SERVICE FEE	TOTAL
Edge Equity Income	$500,000.00	$800.00	$965.63	$125.00	$1,890.63
American Funds Growth, Profile 6	$500,000.00	$800.00	$504.69	–	$1,304.69
AssetMark WealthBuilder, Profile 3	$500,000.00	$800.00	$504.69	–	$1,304.69
GPS Focused Low Volatility, P1	$500,000.00	$800.00	$75.00	–	$875.00
Quarterly Fees	**$2,000,000.00**	**$3,200.00**	**$2,050.00**	**$125.00**	**$5,375.00**
Annual Rate[2] Proposed New Account(s)		0.64%	0.41%	0.03%	1.08%

Preferences -



Client Settings

Set Combined or Separate

- QPR
- On Demand Reports
- AssetMark Investor Portal
- Custodial Websites**
- Custodial Account Statements**

Displaying Fees – Quarterly Performance Reviews

Portfolio Billing

SAMPLE CLIENT

FOR THE FIRST QUARTER OF 20XX

THE FOLLOWING FEES ARE TO BE CHARGED TO EACH OF THE ACCOUNTS IN THIS REPORT :

Advisory Fee Schedule

Level of Assets	Annual Rate
First $250,000	*X.XX%*
Next $250,000	*X.XX%*
Next $500,000	*X.XX%*
Next $2 Million	*X.XX%*
Next $2 Million	*X.XX%*
Over $5 Million	*X.XX%*

Account Number	Portfolio Strategist	Billable Amount	Advisory Fee
XXXXXXXXX	JP Morgan	$XXX,XXX.XX	$X,XXX.XX
XXXXXXXXX	GPS Select Solutions	$XXX,XXX.XX	$XXX.XX
XXXXXXXXX	City National Rochdale	$X,XXX,XXX.XX	$X,XXX.XX

Combined
Displays Platform, Financial Advisor and TMS fees in one combined percentage and transaction

Portfolio Billing

SAMPLE CLIENT

FOR THE FIRST QUARTER OF 20XX

THE FOLLOWING FEES ARE TO BE CHARGED TO EACH OF THE ACCOUNTS IN THIS REPORT :

Financial Advisor Fee Schedule

Level of Assets	Annual Rate
First $250,000	*X.XX%*
Next $250,000	*X.XX%*
Next $500,000	*X.XX%*
Next $2 Million	*X.XX%*
Next $2 Million	*X.XX%*
Over $5 Million	*X.XX%*

Platform Fee Schedule

Level of Assets	Annual Rate
First $250,000	*X.XX%*
Next $250,000	*X.XX%*
Next $500,000	*X.XX%*
Next $2 Million	*X.XX%*
Next $2 Million	*X.XX%*
Over $5 Million	*X.XX%*

Account Number	Portfolio Strategist	Billable Amount	Financial Advisor Fee	Platform Fee
XXXXXXXXX	JP Morgan	$XXX,XXX.XX	$X,XXX.XX	$X,XXX.XX
XXXXXXXXX	GPS Select Solutions	$XXX,XXX.XX	$XXX.XX	$XXX.XX
XXXXXXXXX	City National Rochdale	$X,XXX,XXX.XX	$X,XXX.XX	$X,XXX.XX

Separately
Displays Platform, Financial Advisor and TMS fees into separate percentages and transactions

Planning and Consulting Fee

Planning and Consulting Fee Billing Capabilities

Enhancements are designed to make it easier for advisors to manage the fee billing process by providing a fully automated and flexible fee-for service billing solution

Customizable Billing Options

- Flat dollar
- One-time / Recurring

Automated Payment Processing

- Managed account
- ACH
- All custodians
- All registrations

Integrated Workflow

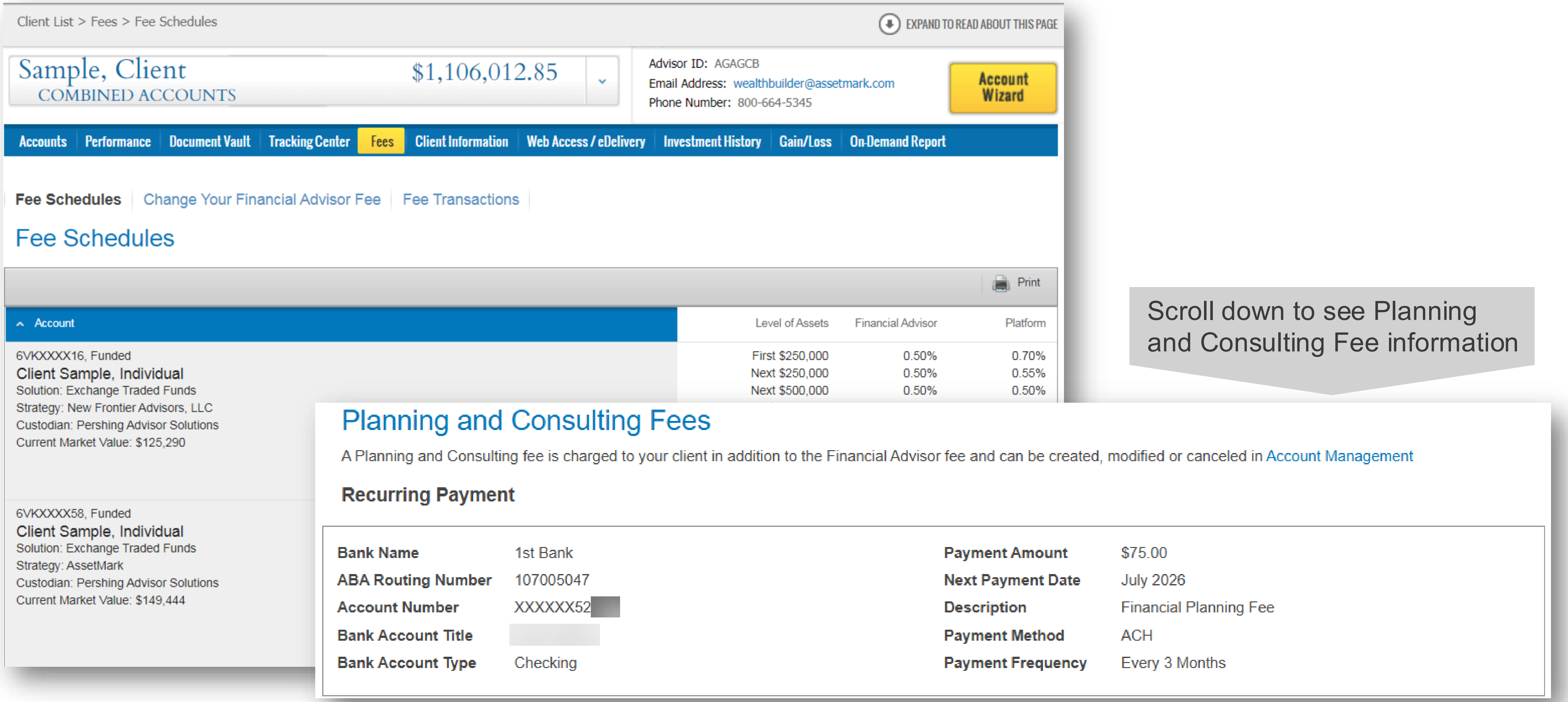
- Integrated with new account paperwork bundle
- eSignature eligible

Reporting

- Monthly reports with payment details
- Included in QPR client invoices

Subject to broker-dealer approval

View fees on AssetMark Advisor Portal



Scroll down to see Planning and Consulting Fee information

Fee visibility on QPR

Sample without Fee Schedule

Sample **Portfolio Billing**

Tom Planning

FOR THE THIRD QUARTER OF 2019

Advisory Fees

THE FOLLOWING FEES ARE TO BE CHARGED TO EACH OF THE ACCOUNTS IN THIS REPORT:

Account Number	Strategy	Billable Amount	Advisory Fee
31234567	GPS Select Low Volatility, Profile 1, Conservative	$174,621.10	$880.09

The Advisory Fees in the table above have been calculated in accordance with your advisory agreement. Advisory Fees include the Financial Advisor Fee and the Platform Fee. The Platform Fee includes compensation to AssetMark, payment for advisory services, including payment to any third-party adviser, if applicable, and payment of custody fees. An additional $37.50 Custody/Platform Administrative Fee will be due from accounts invested in third-party Mutual Funds, and Fixed Income IMA Accounts can incur certain transaction-based fees charged directly by the custodian to the account.

Advisory fees will usually be deducted directly from your custodial Account by the tenth business day following the end of the quarter, unless the fee is paid separately by other means. If not paid by other means, the fee amount(s) are provided directly to your Account custodian, who does not separately verify or audit the fee calculation.

Please note that, if you close your Account during the quarter, any prepaid Advisory Fees will be refunded pro-rata. These fees are subject to change if you have agreed to change the applicable fee schedules assigned to your Account(s) between the date this invoice was created and the date in which the fees are deducted from your Account. Please note this window of time is typically seven to ten business days following quarter end.

Planning and Consulting Fees

THE FOLLOWING FEES ARE TO BE CHARGED THIRD QUARTER OF 2019:

Account Number	Strategy	Description+	Amount	Payment Date++
31234567	GPS Select Low Volatility, Profile 1, Conservative	GPS Select Low Volatility, Profile 1, Conservative	$300.00	September 2019
7654321	City National Rochdale Custom, Profile 1, Conservative	City National Rochdale Custom, Profile 1, Conservative	$1,00.00	August 2019

+This description will not appear on your account statement when fee is debited. Depending on your custodian and/or bank the transaction will appear as "Planning/Consulting Fee", "Other Expense" or with another description as determined by your financial institution processing payment.

++Fee will be deducted from your account by the tenth business day of the indicated month.

Fee schedule will appear based on advisor preferences

QPR billing invoice will include Planning and Consulting Fees

Sample with Fee Schedule

Sample **Portfolio Billing**

om Planning

FOR THE THIRD QUARTER OF 2019

Planning and Consulting Fees

PLANNING AND CONSULTING FEE SCHEDULE:

Account Number	Description	Amount	Next Payment Date	Frequency	Payment Method	Annual Rate
ACH – XXXXXXX3152	Initial Planning Fee	$10,000.00	November 2019	One-Time	ACH	N/A
31234567	Quarterly Installment of Annual Financial Planning Fee	$300.00	September 2019	Quarterly	Debit Account	$1,200.00
7654321	Trust Beneficiary Planning Meeting	$1,000.00	August 2019	One-Time	Debit Account	N/A

THE FOLLOWING FEES ARE TO BE CHARGED THIRD QUARTER OF 2019:

Account Number	Strategy	Description+	Amount	Payment Date++
31234567	GPS Select Low Volatility, Profile 1, Conservative	Quarterly Installment of Annual Financial Planning Fee	$300.00	September 2019
7654321	City National Rochdale Custom, Profile 1, Conservative	Trust Beneficiary Planning Meeting	$1,000.00	August 2019

+This description will not appear on your account statement when fee is debited. Depending on your custodian and/or bank the transaction will appear as "Planning/Consulting Fee", "Other Expense" or with another description as determined by your financial institution processing payment.

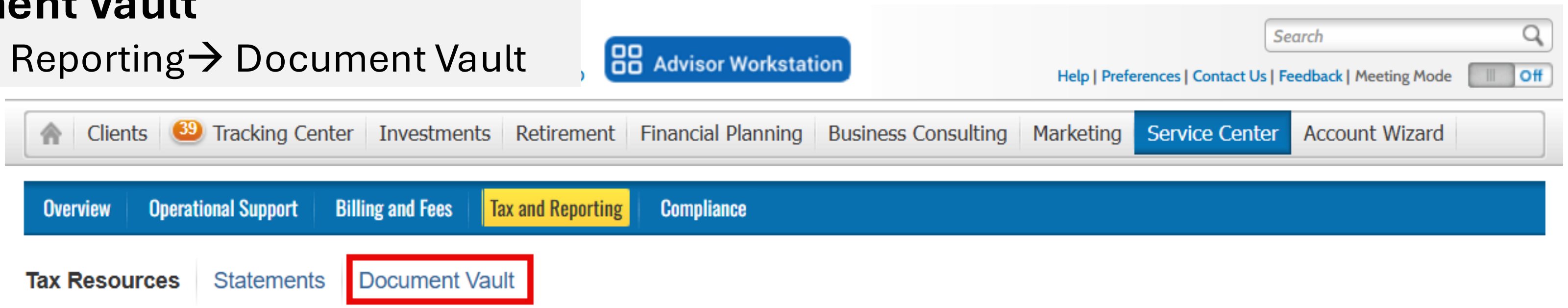
++Fee will be deducted from your account by the tenth business day of the indicated month.

Bulk Download Documents

Document Vault – Bulk Download

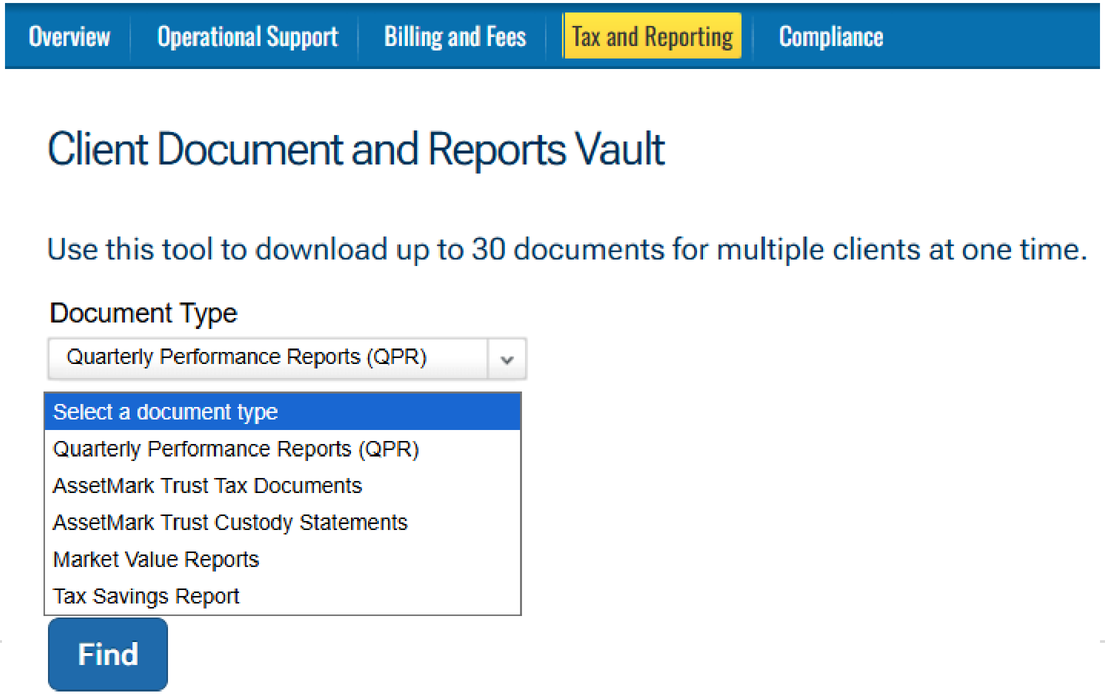
Step 1: Go to the Document Vault

- Service Center→ Tax and Reporting→ Document Vault



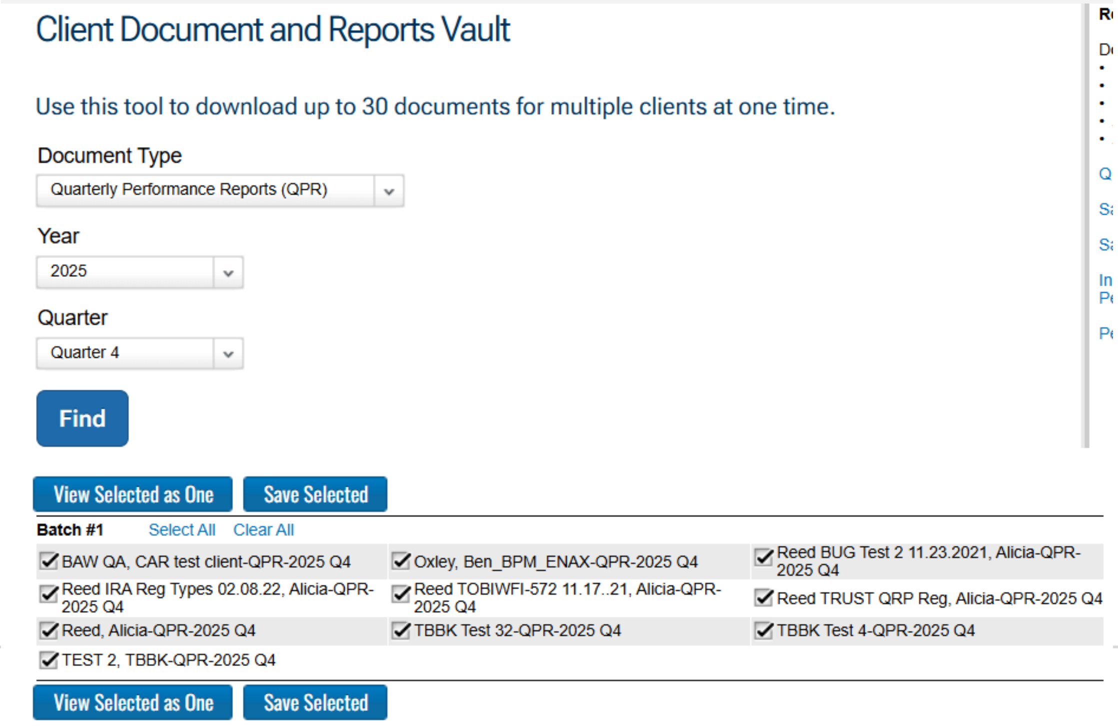
Step 2: Set Parameters

- Select: Document Type and Time Period



Step 3: Select Document

- Options: View Selected as One or Save Selected



New eWealthManager Experience

New Look....

app.assetmark.com

Old bookmarks redirect to their correct context

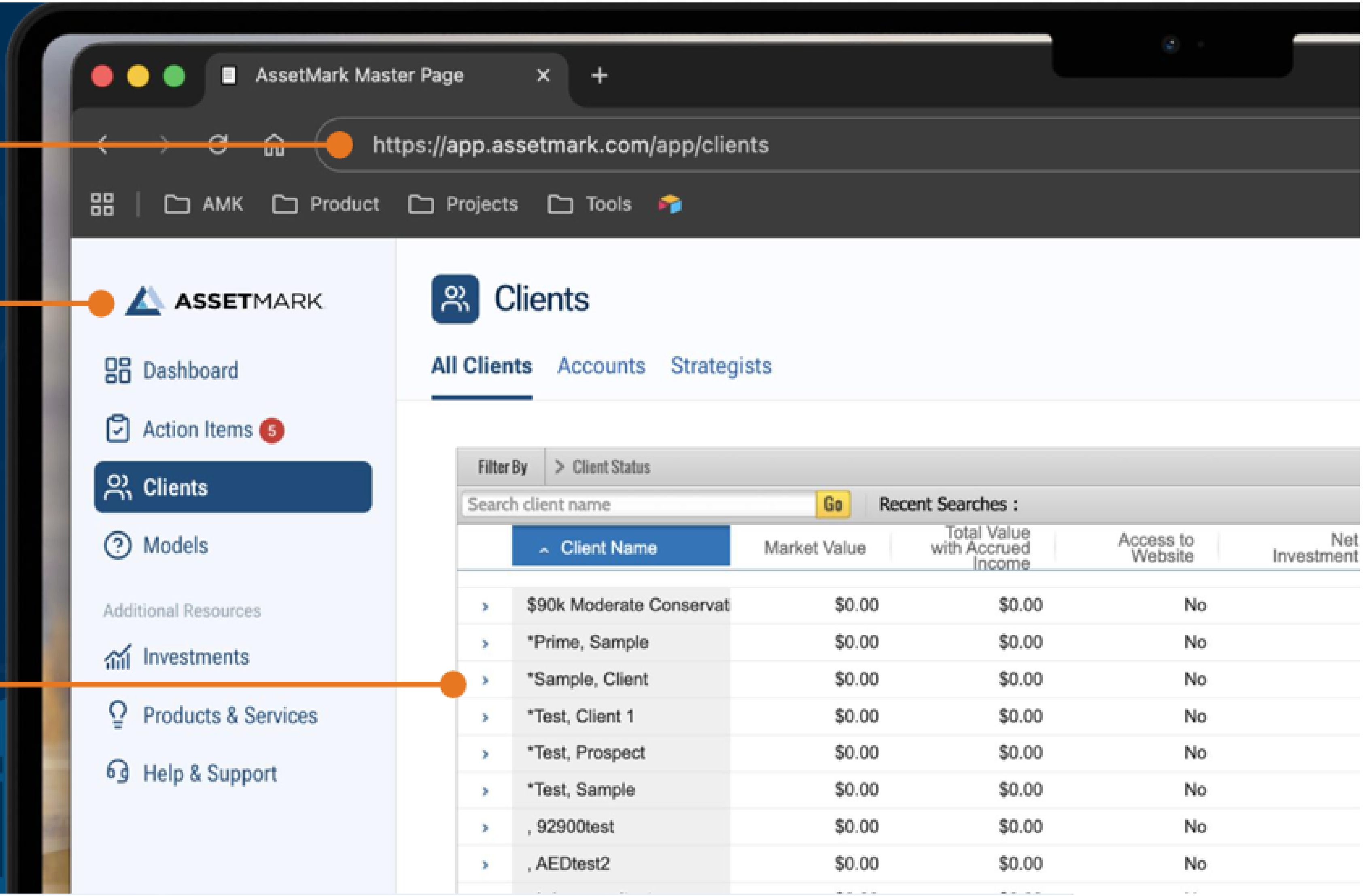
AssetMark branding

Login pages, advisor communication and application only show AssetMark logo. When sidebar is collapsed, only shows logomark, not words

Eventually, we'll retire EWM branding for client portal, but separately from this initiative

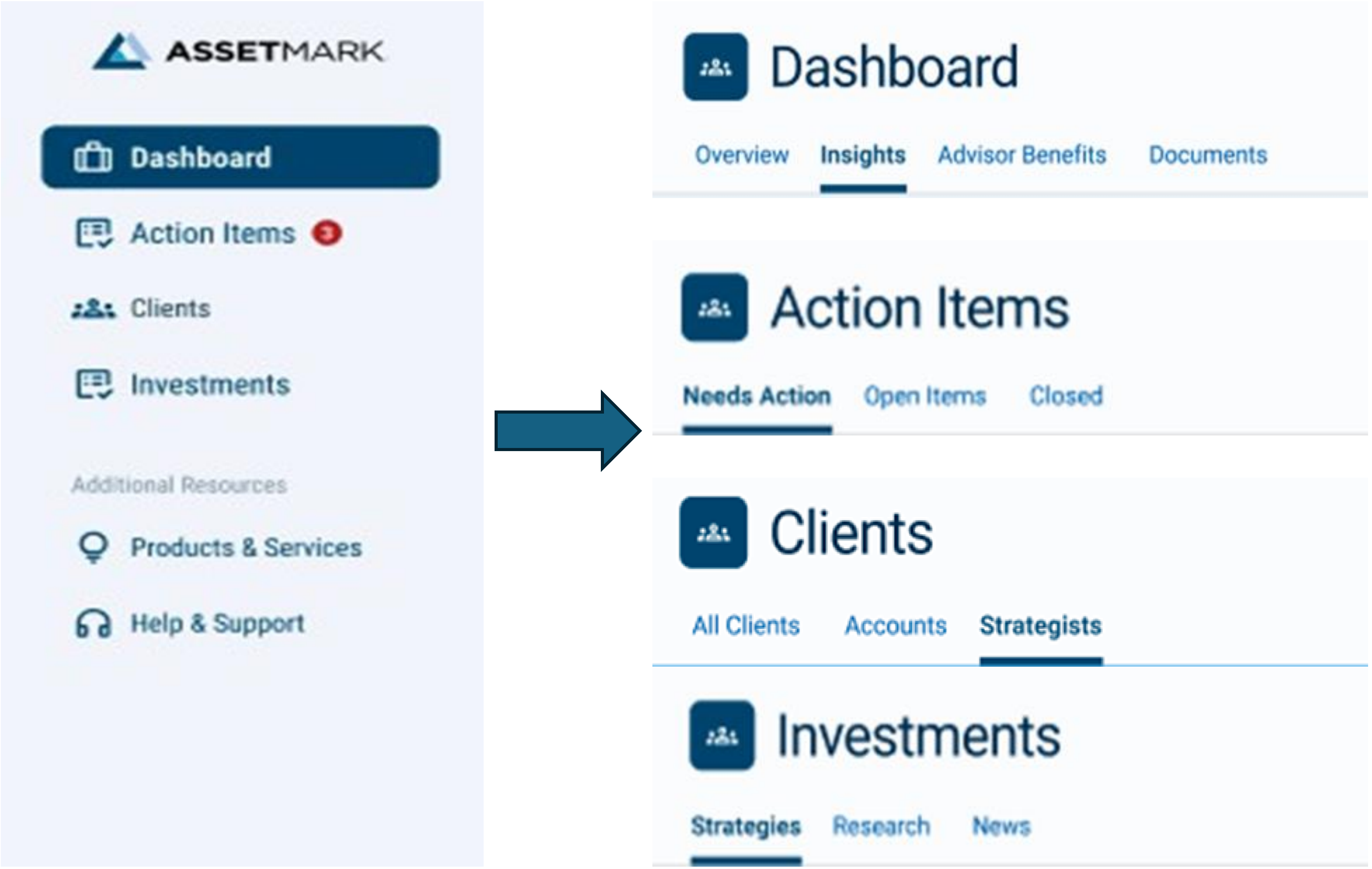
Legacy "2.0" content integrated

Fully backwards compatible links and behavior



New – Global Navigation

- Global navigation; consistent and collapsible
- All sections use common session management – consistent log in time
- Clear indicators in new tab
- All tech *under one roof*



Resources

Power Hour Topics

Power Hour 1

Billing

New to you at AssetMark

Service

- AssetMark Retirement Services
- AssetMark Service & Support

Introduction to AssetMark Advisor Portal

- New Accounts and the Client Experience
- Tax Management Services

Power Hour 2

User Options/Access

- Shared Access User
- Office Administrator
- How to grant shared access

Introduction to AssetMark Advisor Portal

- Tracking Center
- Account Maintenance
- Investment Changes

Business Consulting

Advisor Benefits

Power Hour 3

Client Investor Portal

Introduction to AssetMark Advisor Portal

- Client and Account Lists
- Initiating Maintenance
- Preferences
- On Demand Reports

- **Advanced Planning**

Power Hour 4

Client Updates

- Investor Portal Invitations
- Investor Portal Client Experience
- AssetMark Investor Mobile App

Quarterly Performance Reviews

Service Center

Efficient Advisors Information Page

ASSETMARK

www.assetmark.com/efficientadvisors

ABOUT ASSETMARK

Who Are We?

AssetMark is an industry-leading wealth platform with a proven track record of growth and innovation. Founded in 1996 and based in Concord, California, we now have over 1,100 employees who are singularly focused on making a difference in the lives of advisors and their clients. Today, the AssetMark platform has over $148 billion in assets and serves over 10,500 financial advisors and over 318,000 investor households.

Advisors work with AssetMark because we share a common mission: making a difference in the lives of investors. We serve independent financial advisors of all models at every stage of their journey; this includes RIAs, Broker Dealer-affiliated advisors, and advisors at Credit Unions and Banks.

Our platform is strategically built to empower independent advisors to grow and scale their business by outsourcing specialty services that would otherwise require significant investments of time and money. The key pillars of AssetMark's differentiated services include integrated digital solutions, curated investment strategies, and highly customized consulting and service support.

UNCOVER THE REASONS ADVISORS CHOOSE ASSETMARK →

Share your feedback!

Please help us with your feedback by answering these two quick survey questions

Important Information

IMPORTANT INFORMATION

AssetMark, Inc. is an investment management and consulting firm that helps independent financial advisors build great businesses. This is for informational purposes only, is not a solicitation, and should not be considered investment, legal, or tax advice. The information has been drawn from sources believed to be reliable, but its accuracy is not guaranteed and is subject to change.

References to financial advisors and service providers are intended for informational purposes only and should not be considered an endorsement or recommendation of AssetMark. Each party is responsible for their own content and services. AssetMark and its affiliates may engage with providers for services.

For more complete information about the various investment solutions available, including the investment objectives, risks, and fees, please refer to the Disclosure Brochure and applicable Fund Prospectus. Please read them carefully before investing. For a copy, please contact AssetMark.

Investing involves risk, including the possible loss of principal. Past performance does not guarantee future results.

AssetMark, Inc. is an investment adviser registered with the U.S. Securities and Exchange Commission.

©2026 AssetMark, Inc. All rights reserved.

8936590.1.| 05/2028 | EXP 05/31/2028