



Efficient Advisors to AssetMark, Inc. Integration

POST-INTEGRATION POWER HOUR 5.21.2026

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Efficient Advisors to AssetMark Overview



AssetMark, Inc. acquired Efficient Advisors in December 2025



Transition was completed over the weekend of May 1-3, 2026



What we'll cover today:

- **The Efficient Advisors experience at AssetMark – new benefits and capabilities you'll experience**



Transition Communications and Events

Thursday, 1/8
ACTION ALERT
integration email

Thursday, 1/22
1:30-2:30pm ET
POWER HOUR
integration webinar

Tuesday, 2/3
ACTION ALERT
integration email

Thursday, 2/19
1-2pm ET
POWER HOUR
integration webinar


Tuesday, 3/3
ACTION ALERT
integration email

Tuesday, 3/17
1-2pm ET
POWER HOUR
integration webinar

Thursday, 4/2
ACTION ALERT
integration email

Thursday, 4/23
1-2pm ET
POWER HOUR
integration webinar

Wednesday, 5/20
1-2pm ET
POWER HOUR
post - integration
webinar




JAN FEB MARCH APRIL MAY



Discovery Events

Charlotte 2/24
Concord 2/26

Premier Advisor Meetings

Detroit 3/17
New Jersey 3/18
Rochester 3/19
Chicago 3/24
Philadelphia 3/25

Efficient Advisors Billing Change

Quarterly Billing in Advance Begins

Investment Mastery

Chicago 4/14

05/04/2026
Integration Complete

Accounts transitioned from Efficient Advisors to AssetMark

New to you with AssetMark

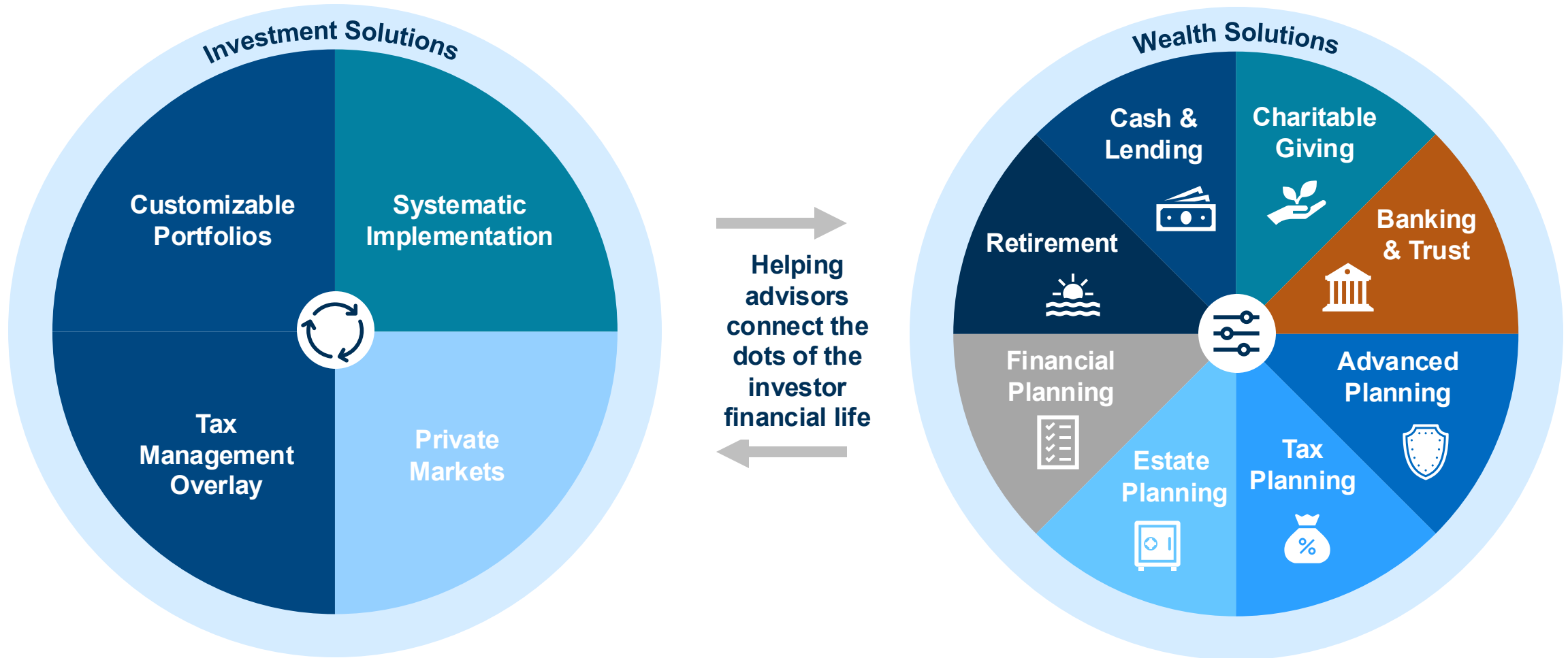
AssetMark Wealth Services Introduction

Jim Lastoskie, Vice President

May 2026

AssetMark helps advisors provide holistic wealth management services

Wealth Management Program



We'll highlight 4 key services today...

Cash Services



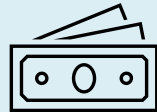
- FDIC, CD and Ultra-Short options to support clients' cash and short-term investing needs

SDBA



- Technology and investments to manage clients' self-directed brokerage accounts (SDBA)

Lending Services



- Securities-backed line of credit (SBLOC) to support clients' liquidity needs

AssetMark Charitable



- Solution for clients' charitable giving needs: donor-advised funds (DAF) and single charity funds (SCF)

... each is a compelling opportunity to meet client needs and grow your practice

Cash Services



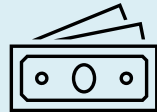
34% Cash holdings of HNW households¹

SDBA



34% average share of financial assets in employer sponsored retirement accounts²

Lending Services



84% of AssetMark advisors reported that using SBLOC led to higher client satisfaction³

AssetMark Charitable



70% of HNW clients want to talk philanthropy with their advisor⁴

1. KKR 2023 Family Capital Survey; 2. ICI Quarterly Retirement Market Data 4Q25. 3. SBLOC Survey by AssetMark Trust Company, June 2024. 4. *The Annual DAF Report 2025*, National Philanthropic Trust.

Cash Services: We offer a range of options that enable you to customize liquidity, safety and return to meet your clients' needs



Cash Accounts

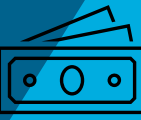
| | High Yield Cash | CD Plus |
|-----------------------------|------------------------------------|------------------------------------|
| Minimum | \$100k | \$25k |
| Liquidity | Next day | At maturity |
| Risk of Loss | FDIC insured | FDIC insured |
| Income Tax Treatment | Federal: Taxable State: Taxable | Federal: Taxable State: Taxable |
| APY | 1.76% - 2.27% | 2.78% - 3.43% |

Investments

| | Money Market Funds | Savos Laddered Short-Term U.S. Treasuries | Savos Fixed Income Ultra-Short Duration |
|--|---------------------------------------|---|---|
| | \$25k | \$25k | \$25k |
| | Next day | At maturity (Can be sold early) ² | Next day |
| | Low (Interest rate risk) | Low (Interest rate risk) | Low-Medium (Interest rate and credit risk) |
| | Federal: Taxable State: Tax-Exempt | Federal: Taxable State: Tax-Exempt | Federal: Taxable State: Taxable |
| | 3.32% - 3.36% | 3.60% | 4.30% |

As of February 25, 2026. Source: AssetMark. Yield to maturity (YTM) is the total (annual rate of) return anticipated on a bond if held until maturity; assuming all interest payments are reinvested at the original rate. Source: FactSet. Yields and duration vary over time.

Lending Services: We offer a Securities Backed Line of Credit (SBLOC) solution that offers flexible access to liquidity at competitive rates



Competitive Rates¹

- Attractive rates across all account sizes



Transparency

- Full visibility to loan status



Decisions in Days

- 3-5 business days



Digital Experience

- Modern and intuitive experience

¹ Rates subject to change.

² Applies to single, joint, and revocable trusts. More complex irrevocable trusts and business accounts can take several weeks to originate.

SDBA: We launched a Self-Directed Brokerage Account (SDBA) Solution on April 17th, enabling you to manage your clients' employer sponsored retirement balances



AssetMark is launching versions of existing models where a fee can be paid from assets in the account*

| Strategy | Risk Profiles | Expense Ratio Range* | FA Compensation* |
|---|---------------|----------------------|------------------|
| AssetMark Global GuideMark Market Blend | 2-3, 5-6 | 1.74% - 1.81% | 0.75% |
| AssetMark US GuideMark Market Blend | 2-3, 5-6 | 1.68% - 1.69% | 0.75% |
| GPS Funds Accumulation (no Alts) | 1 - 5 | 1.61% - 1.79% | 0.75% |
| GPS Funds Accumulation | 1 - 5 | 1.60% - 1.78% | 0.75% |
| GPS Funds Distribution | 2 - 4 | 1.76% - 1.82% | 0.75% |
| GPS Funds Distribution (no Alts) | 2 - 4 | 1.76% - 1.84% | 0.75% |
| GPS Focused Core Markets | 1 - 5 | 1.56% - 1.77% | 0.75% |
| GPS Focused Multi-Asset Income | 2 - 4 | 1.68% - 1.85% | 0.75% |
| GPS Focused Tactical / GPS Focused Low Volatility | 1 - 5 | 1.61% - 1.85% | 0.75% |

These SDBA Mutual Fund strategies have no platform fee

* Funds include an Administrative Services Fee of 100 basis points for AssetMark's services provided to fund shareholders. AssetMark then pays 75 bps to advisors. All strategies have a minimum of \$10k.

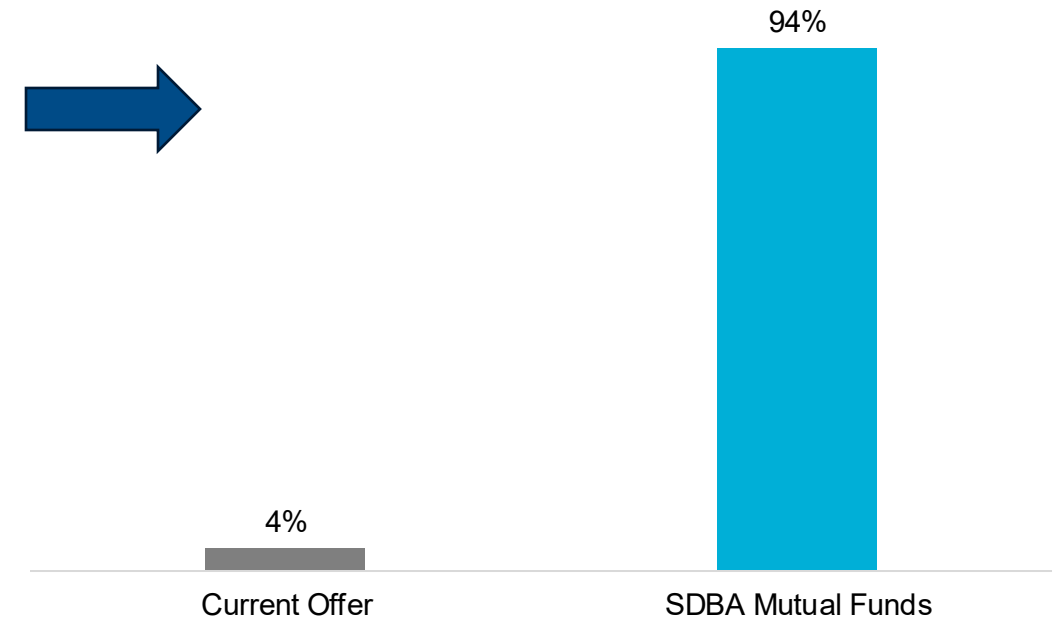
SDBA: Our solution solves common SDBA challenges and greatly expands your market opportunity



Solution solves common SDBA challenges

| CHALLENGE | SOLUTION |
|--|--|
| Fee pull restrictions | 75 bps FA compensation |
| Investment restrictions | Eligible with most plans |
| No holistic view of wealth | Holistic view & reporting |
| Investment options that don't align with your philosophy | Diversified strategies incorporate AssetMark's best thinking |
| High fees | Lower fees |

Percentage of plans with SDBA where an advisor can be paid by the plan or fund*







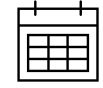

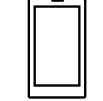



* Source: AssetMark 2025 research, based on 9,839 plans.

EA models are available in SDBA upon request

AssetMark Charitable: Built for advisors who want charitable planning to deepen client engagement — not sit outside the relationship



Traditional charitable giving programs were built for administration, not for strengthening advisor-client relationships

| TRADITIONAL DAF PROVIDERS | | ASSETMARK CHARITABLE | |
|---|----------------------------|----------------------|---|
|  | Limited investments | > |  AssetMark platform* |
|  | Limited advisor visibility | > |  Full visibility |
|  | One-time gifting focus | > |  Integrated into holistic wealth planning & multi-gen family collaboration |
|  | Generic client experience | > |  Fully digital, intuitive client experience |
|  | Reactive tax planning | > |  Tools and RMD solution to plan for taxes |

We offer dedicated specialists to support your efforts

Cash, Lending & DAF



Eric Alexander
Senior Wealth Services Specialist

Self Directed Brokerage Accounts (SDBA)



Robert Jesch
SDBA Business Development
Consultant - West



Logan Cummings
SDBA Business Development
Consultant - East

To learn more, talk to your sales rep or visit the AssetMark web portal

The screenshot shows the eWealthManager web portal interface. At the top, there is a search bar and a navigation menu with tabs for Investments, Retirement, Financial Planning, Business Consulting, Marketing, Service Center, and Account Wizard. Below this is a secondary navigation bar with various service categories like Overview, Strategies, SMA, GPS, Performance, Research, Cash, Lending (highlighted), Private Markets, Savos, TMS, Direct Indexing, DAF, Morningstar, and EA. The main content area features a large banner for 'CashAdvantage Lending Securities-Backed Line of Credit' with a 'Launch CashAdvantage Lending' button. Below the banner is a paragraph describing the service and two statistics: '84% Of AssetMark advisors reported using SBLOC led to higher client satisfaction.' and '2x faster business growth among advisors who use SBLOC.'. At the bottom, there is a section titled 'Five Reasons an SBLOC Might Make Sense for Your Clients' with five numbered points: 1. Portfolio Protection, 2. Competitive Rates, 3. Payment Flexibility, 4. Hassle-free, and 5. Tax Impact.

→ Go to “Investments” or “Retirement” tabs on the AssetMark web portal for an overview of solution and marketing

Short-Term Cash Restriction or Account
Suspension?



Short-Term Cash Restriction

Description

- A short-term cash restriction is used to restrict **a portion** of cash from investing/trading into a managed account

Why

- Often, it is a short-term solution for a future withdrawal or transfer out to another firm

Helpful Hints

- Restricted cash can affect the underlying performance of the managed account
- Restricted cash is included in the billable amounts used to calculate Advisor and all Platform fees, including Managers/Strategists.
- Cash stays restricted until AssetMark receives instructions to remove the cash restriction
- Does not qualified for same-day trading

Account Suspension

Description

- A suspension is used to suspend the **entire** managed account from investing/trading in the managed account

Why

- A client may want to suspend the account due to current market conditions or if they are trying to decide on a new strategy to invest in

Helpful Hints

- Account is still invested in the market, and placing a suspension does not mitigate market risk other than preventing rebalancing
- It will affect the performance of the managed account
- Account stays suspended until AssetMark is instructed to remove suspension
- If/when account is unsuspended it will rebalance, which could cause gains/losses
- Does not qualified for same-day trading

Short-Term Cash Restriction or Suspension

- Forms can be located in the Account Wizard
- Now, two separate forms
- Restriction amount represents the total amount of cash that will be in the account

DocuSign Envelope ID: 0F55BDFA-0C70-485F-AF69-6603D60193AF

Short-Term Cash Restriction Request

ASSETMARK.

| Upload | Overnight Mail | Regular Mail |
|---|---|---|
| eHealthManager.com Tracking Center upload feature | AssetMark Trust Company 1023 Commerce Street, Suite D Lynchburg, VA 24504 | AssetMark Trust Company P.O. Box 40018 Lynchburg, VA 24506-4018 |

Use this form to restrict a portion of an account to cash. To hold a portion of cash long-term or place an entire account in cash, submit an Investment Solution Change request to a Custodial Sweep. Short-Term Cash Restrictions cannot be added to Eaton Vance, Custodial Sweep, or Funding accounts.

Account Information

| | |
|---|--|
| Account Name TBBK Test 1, Individual | Account Number (one account per form) 9999999 |
|---|--|

Short-Term Cash Restriction Options

Short-Term Cash Restrictions will remain in effect until written instructions to remove have been received.

- The Short-Term Cash Restriction Amount represents the **Total Amount** of cash that will be restricted within the account.
- If a Short-Term Cash Restriction is not present, one will be added.
- If a Short-Term Cash Restriction is present, it will be updated to reflect the total Short-Term Cash Restriction Amount provided.
- If sufficient cash is unavailable, AssetMark will raise funds as necessary to accomplish this request.

1. **Short-Term Cash Restriction on existing assets** (Applicable to funded managed accounts)

Short-Term Cash Restriction Amount
\$

2. **Short-Term Cash Restriction on incoming deposit** (Applicable to pending deposits or incoming transfers)

Short-Term Cash Restriction Amount
\$

3. **Remove 100% of Short-Term Cash Restriction** (Does not impact Security Restrictions or Account Suspensions)

Any open Short-Term Cash Restriction will be closed, removed from the account, and all unrestricted funds will be rebalanced into the model.

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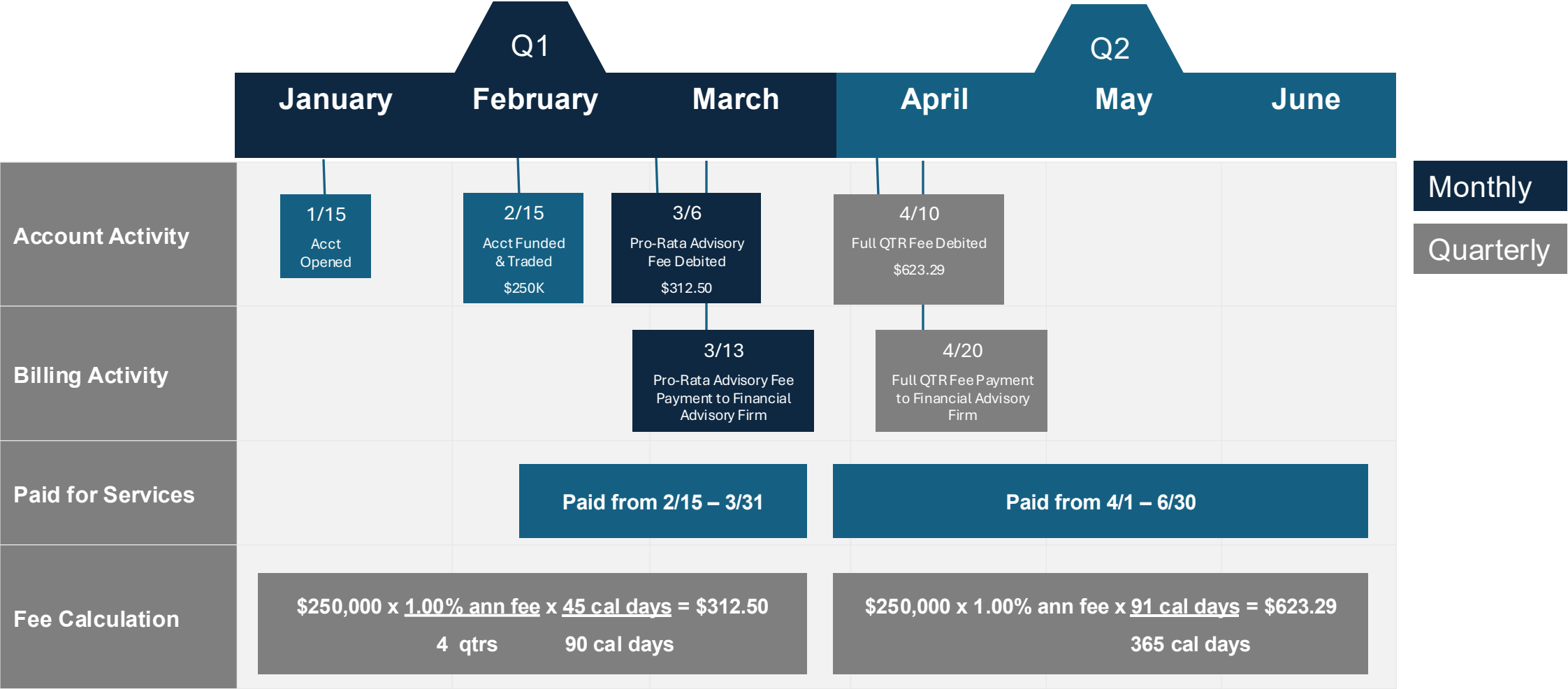
04/21/2021
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accountcashrestrictionorremoval

Short-Term Cash Restriction 1 of 2

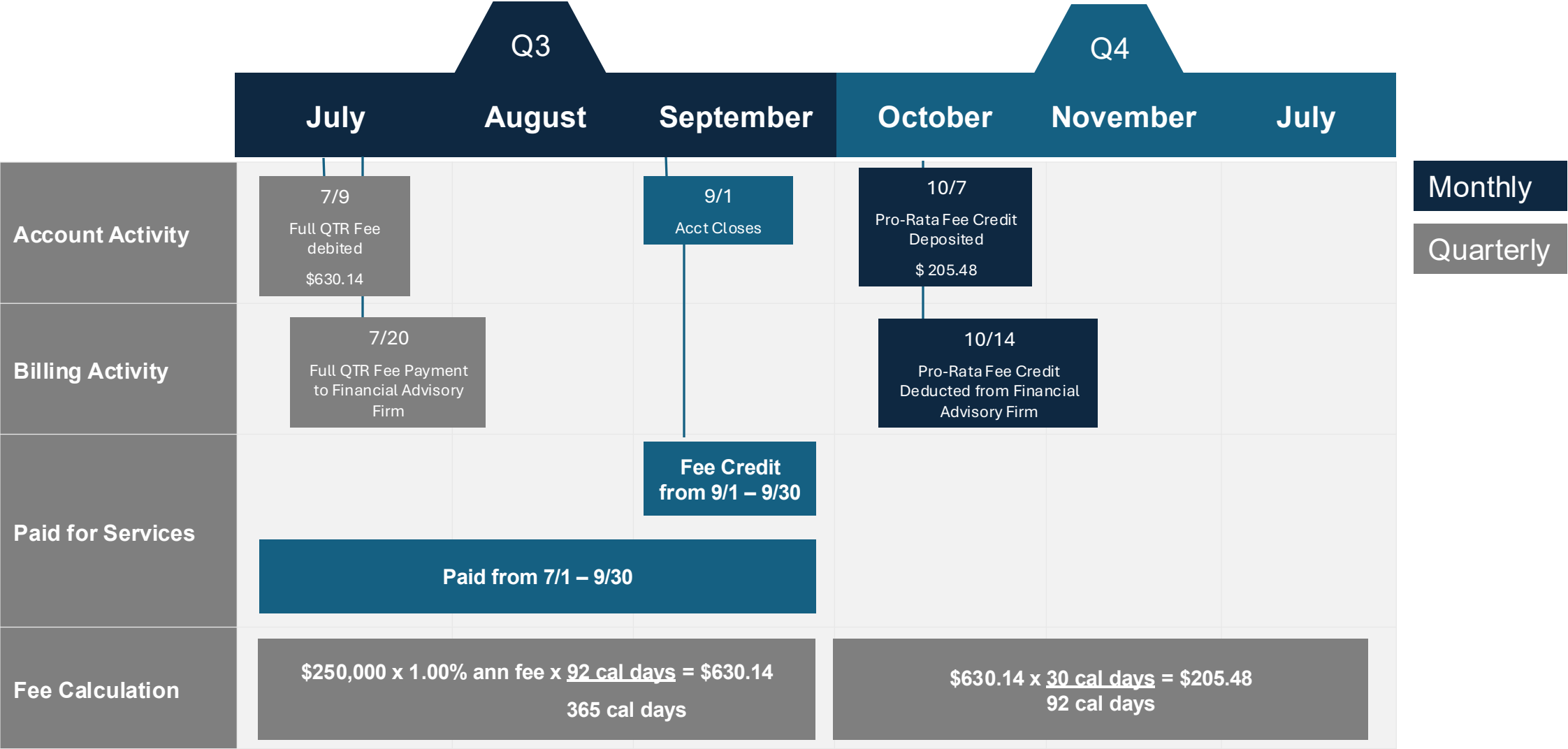
Billing Process



Billing Timeline (example)



Billing Timeline (example)



Householding

- A household is all the accounts chosen to be grouped together for billing (immediate family)
- Advisory fees are based on the total value of a client's accounts, regardless of investment solution
- **Rule of thumb:** if you have clients with multiple accounts in different investment solutions and a total AUM of \$250,000 or more, their fees will be lower

Example: Sample Client Portfolio

JP Morgan Profile 3: \$500,000

Beaumont Profile 3: \$600,000

\$1,100,000 Total Portfolio Value

Displaying Fees



Preferences – New Account Wizard

Preferences

Advisor Settings Client Settings

My Profile Account Wizard Tracking Center On-Demand Report Manage Roles Manage Login Manage Logos Manage Integrations

Investment Proposals

Advisor Intro

Account Wizard Portfolio Templates

Custom Financial Advisor Fee Schedules

Please set your Account Wizard preferences for your Investment Proposal.

Custodian: Schwab

Fees Preferences

Select a default Advisor Fee:

Flat Fee

OR

Tiered Fee

Fee Schedule
Display Financial Advisor, Platform and (if applicable)
Tax Management Fees:
 Combined
 Separately (Default when a stepped fee schedule
applies to any client account)

Portfolio Details Preferences

Print Preview Preferences

Investing With Confidence

- Investing is Personal
- Growth of \$1 Million - Equities vs. Bonds
- The Cycle of Emotions
- Growth of \$1 Million - Staying Invested
- Investing Evolved Overview
- Three Investment Approaches

Your Investment Team

- Your Investment Team
- Select as option for display:
 - Financial Advisor Name
 - Advisory Firm Name
 - Portfolio Strategists

Displaying Fees

Combined

Displays Platform, Financial Advisor and TMS fees in one combined percentage and transaction

Separately

Displays Platform, Financial Advisor and TMS fees into separate percentages and transactions

Displaying Fees – Account Establishment Documents

Combined

Displays Platform, Financial Advisor and TMS fees in one combined percentage and transaction

Separately

Displays Platform, Financial Advisor and TMS fees into separate percentages and transactions

Client Billing Disclosure

Client Billing Disclosure



Pursuant to your custody and advisory agreements, you (the "Client") agree to certain fees and expenses and authorize that they be deducted from your Account(s). These fees are primarily the asset-based fees of: 1. the Financial Advisor Fee, paid to the Financial Advisory Firm with which your Financial Advisor is associated; 2. the Platform Fee, which is paid to AssetMark and pays for the advisory, administrative, custodial and brokerage services to the Account; and, if you select the service, the Tax Management Service Fee. Collectively, these fees are called the Account's "Advisory Fee." The Advisory Fee is calculated and payable quarterly in advance. Listed below are the annual rate of the Advisory Fee and the quarterly dollar amount, assuming that all Accounts listed will be funded at the proposed dollar amounts and incorporating the benefit of fee schedule breaks due to householding, if applicable. Other fees, such as custodian termination fees, identified in the agreements and discussed in the Disclosure Brochure will also apply to the Account(s).

Below is an illustration of what the full calendar quarter fee will be if the Account(s) are funded in the listed amount(s):

| ACCOUNT | AMOUNT ¹ | ADVISORY FEE | TOTAL |
|--|-----------------------|-------------------|-------------------|
| AssetMark WealthBuilder, Profile 4 | | | |
| Parametric Custom, All Equity, Profile 6 | \$500,000.00 | \$1,812.14 | \$1,812.14 |
| GPS Focused Core Markets, P1 | \$250,000.00 | \$1,017.76 | \$1,017.76 |
| JPMorgan, Profile 1, Conservative | \$10,000.00 | \$28.21 | \$28.21 |
| Aris Asset Builder, Profile 5, Growth | \$500,000.00 | \$1,658.59 | \$1,658.59 |
| | \$500,000.00 | \$1,783.59 | \$1,783.59 |
| Quarterly Fees | | | |
| Annual Rate² | \$1,760,000.00 | \$6,300.30 | \$6,300.30 |
| Proposed New Account(s) | | 1.43% | 1.43% |

Client Billing Disclosure

Client Billing Authorization and Disclosure



Pursuant to your custody and advisory agreements, you (the "Client") agree to certain fees and expenses and authorize that they be deducted from your Account(s). These fees are primarily the asset-based fees detailed below: 1. the Financial Advisor Fee, paid to the Financial Advisory Firm with which your Financial Advisor is associated; 2. the Platform Fee, which is paid to AssetMark and pays for the advisory, administrative, custodial and brokerage services to the Account; and, if you select the service, the Tax Management Service Fee. These fees are calculated and payable quarterly in advance. Listed below are the annual rates of these fees and the quarterly dollar amounts, assuming that all Accounts listed will be funded at the proposed dollar amounts and incorporating the benefit of fee schedule breaks due to householding, if applicable. Other fees, such as custodian termination fees, identified in the agreements and discussed in the Disclosure Brochure will also apply to the Account(s).

Below is an illustration of what the full calendar quarter fee will be if the Account(s) are funded in the listed amount(s):

| ACCOUNT | AMOUNT ¹ | FINANCIAL ADVISOR FEE | PLATFORM MANAGEMENT FEE | TAX SERVICE FEE | TOTAL |
|------------------------------------|-----------------------|-----------------------|-------------------------|-----------------|-------------------|
| Edge Equity Income | \$500,000.00 | \$800.00 | \$965.63 | \$125.00 | \$1,890.63 |
| American Funds Growth, Profile 6 | \$500,000.00 | \$800.00 | \$504.69 | -- | \$1,304.69 |
| AssetMark WealthBuilder, Profile 3 | \$500,000.00 | \$800.00 | \$504.69 | -- | \$1,304.69 |
| GPS Focused Low Volatility, P1 | \$500,000.00 | \$800.00 | \$75.00 | -- | \$875.00 |
| Quarterly Fees | | | | | |
| Annual Rate² | \$2,000,000.00 | \$3,200.00 | \$2,050.00 | \$125.00 | \$5,375.00 |
| Proposed New Account(s) | | 0.64% | 0.41% | 0.03% | 1.08% |

Preferences -

Home Clients **2** Tracking Center Investments Retirement Financial Planning Business Consulting Marketing Service Center Account Wizard

Preferences

Advisor Settings **Client Settings**

This section enables you to set global preferences for all of your new and/or existing clients.

To modify settings for a particular client, go to the [Clients](#) section and select the specific client. The client-specific settings in the [Clients](#) section will override the global client preferences.

> Document Delivery

> Web Access

> Performance

> Indices for Performance Comparison

▼ Client Billing Detail

Use this section to set global client billing detail preferences for your new and/or existing clients. When you click the **Save** button, you will have the option to apply the changes to your existing clients.

Display the Portfolio Billing page in the QPR

[View Sample](#)

Display Fee Schedule(s) in the QPR

[View Sample](#)

Display Financial Advisor, Platform, and (if Applicable) Tax Management Fees Separately (Default when a stepped fee schedule applies to any client account)

[View Sample](#)

Client Settings

Set Combined or Separate

- QPR
- On Demand Reports
- AssetMark Investor Portal
- Custodial Websites**
- Custodial Account Statements**

Displaying Fees – Quarterly Performance Reviews

Portfolio Billing

SAMPLE CLIENT

FOR THE FIRST QUARTER OF 20XX

THE FOLLOWING FEES ARE TO BE CHARGED TO EACH OF THE ACCOUNTS IN THIS REPORT :

Advisory Fee Schedule

| Level of Assets | Annual Rate |
|------------------|-------------|
| First \$250,000 | X.XX% |
| Next \$250,000 | X.XX% |
| Next \$500,000 | X.XX% |
| Next \$2 Million | X.XX% |
| Next \$2 Million | X.XX% |
| Over \$5 Million | X.XX% |

| Account Number | Portfolio Strategist | Billable Amount | Advisory Fee |
|----------------|------------------------|-----------------|--------------|
| XXXXXXXXXX | JP Morgan | \$100,XXX.XX | \$X,XXX.XX |
| XXXXXXXXXX | GPS Select Solutions | \$100,XXX.XX | \$XXX.XX |
| XXXXXXXXXX | City National Rochdale | \$X,XXX,XXX.XX | \$X,XXX.XX |

Combined
Displays Platform, Financial Advisor and TMS fees in one combined percentage and transaction

Portfolio Billing

SAMPLE CLIENT

FOR THE FIRST QUARTER OF 20XX

THE FOLLOWING FEES ARE TO BE CHARGED TO EACH OF THE ACCOUNTS IN THIS REPORT :

Financial Advisor Fee Schedule

| Level of Assets | Annual Rate |
|------------------|-------------|
| First \$250,000 | X.XX% |
| Next \$250,000 | X.XX% |
| Next \$500,000 | X.XX% |
| Next \$2 Million | X.XX% |
| Next \$2 Million | X.XX% |
| Over \$5 Million | X.XX% |

Platform Fee Schedule

| Level of Assets | Annual Rate |
|------------------|-------------|
| First \$250,000 | X.XX% |
| Next \$250,000 | X.XX% |
| Next \$500,000 | X.XX% |
| Next \$2 Million | X.XX% |
| Next \$2 Million | X.XX% |
| Over \$5 Million | X.XX% |

| Account Number | Portfolio Strategist | Billable Amount | Financial Advisor Fee | Platform Fee |
|----------------|------------------------|-----------------|-----------------------|--------------|
| XXXXXXXXXX | JP Morgan | \$100,XXX.XX | \$X,XXX.XX | \$X,XXX.XX |
| XXXXXXXXXX | GPS Select Solutions | \$100,XXX.XX | \$XXX.XX | \$XXX.XX |
| XXXXXXXXXX | City National Rochdale | \$X,XXX,XXX.XX | \$X,XXX.XX | \$X,XXX.XX |

Separately
Displays Platform, Financial Advisor and TMS fees into separate percentages and transactions

Planning and Consulting Fee



Planning and Consulting Fee Billing Capabilities

Enhancements are designed to make it easier for advisors to manage the fee billing process by providing a fully automated and flexible fee-for service billing solution

Customizable Billing Options

- Flat dollar
- One-time / Recurring

Automated Payment Processing

- Managed account
- ACH
- All custodians
- All registrations

Integrated Workflow

- Integrated with new account paperwork bundle
- eSignature eligible

Reporting

- Monthly reports with payment details
- Included in QPR client invoices

Subject to broker-dealer approval

View fees on AssetMark Advisor Portal

Client List > Fees > Fee Schedules EXPAND TO READ ABOUT THIS PAGE

Sample, Client \$1,106,012.85 ▼ Advisor ID: AGAGCB Email Address: wealthbuilder@assetmark.com Phone Number: 800-664-5345 Account Wizard

Accounts Performance Document Vault Tracking Center **Fees** Client Information Web Access / eDelivery Investment History Gain/Loss On-Demand Report

Fee Schedules [Change Your Financial Advisor Fee](#) [Fee Transactions](#)

Fee Schedules

Print

| Account | Level of Assets | Financial Advisor | Platform |
|---|-----------------|-------------------|----------|
| 6VKXXX16, Funded Client Sample, Individual Solution: Exchange Traded Funds Strategy: New Frontier Advisors, LLC Custodian: Pershing Advisor Solutions Current Market Value: \$125,290 | First \$250,000 | 0.50% | 0.70% |
| | Next \$250,000 | 0.50% | 0.55% |
| | Next \$500,000 | 0.50% | 0.50% |

6VKXXX58, Funded
Client Sample, Individual
Solution: Exchange Traded Funds
Strategy: AssetMark
Custodian: Pershing Advisor Solutions
Current Market Value: \$149,444

Planning and Consulting Fees

A Planning and Consulting fee is charged to your client in addition to the Financial Advisor fee and can be created, modified or canceled in [Account Management](#)

Recurring Payment

| | | | |
|---------------------------|-----------|--------------------------|------------------------|
| Bank Name | 1st Bank | Payment Amount | \$75.00 |
| ABA Routing Number | 107005047 | Next Payment Date | July 2026 |
| Account Number | XXXXXX52 | Description | Financial Planning Fee |
| Bank Account Title | | Payment Method | ACH |
| Bank Account Type | Checking | Payment Frequency | Every 3 Months |

Scroll down to see Planning and Consulting Fee information

Fee visibility on QPR

Sample without Fee Schedule

| Sample | | Portfolio Billing | | |
|---|--|--|--------------|----------------------------|
| Tom Planning | | | | |
| FOR THE THIRD QUARTER OF 2019 | | | | |
| Advisory Fees | | | | |
| THE FOLLOWING FEES ARE TO BE CHARGED TO EACH OF THE ACCOUNTS IN THIS REPORT: | | | | |
| Account Number | Strategy | Billable Amount | Advisory Fee | |
| 31234567 | GPS Select Low Volatility, Profile 1, Conservative | \$174,621.10 | \$880.09 | |
| <p>The Advisory Fees in the table above have been calculated in accordance with your advisory agreement. Advisory Fees include the Financial Advisor Fee and the Platform Fee. The Platform Fee includes compensation to AssetMark, payment for advisory services, including payment to any third-party adviser, if applicable, and payment of custody fees. An additional \$37.50 Custody/Platform Administrative Fee will be due from accounts invested in third-party Mutual Funds, and Fixed Income IMA Accounts can incur certain transaction-based fees charged directly by the custodian to the account.</p> <p>Advisory fees will usually be deducted directly from your custodial Account by the tenth business day following the end of the quarter, unless the fee is paid separately by other means. If not paid by other means, the fee amount(s) are provided directly to your Account custodian, who does not separately verify or audit the fee calculation.</p> <p>Please note that, if you close your Account during the quarter, any prepaid Advisory Fees will be refunded pro-rata. These fees are subject to change if you have agreed to change the applicable fee schedules assigned to your Account(s) between the date this invoice was created and the date in which the fees are deducted from your Account. Please note this window of time is typically seven to ten business days following quarter end.</p> | | | | |
| Planning and Consulting Fees | | | | |
| THE FOLLOWING FEES ARE TO BE CHARGED THIRD QUARTER OF 2019: | | | | |
| Account Number | Strategy | Description ⁺ | Amount | Payment Date ⁺⁺ |
| 31234567 | GPS Select Low Volatility, Profile 1, Conservative | GPS Select Low Volatility, Profile 1, Conservative | \$300.00 | September 2019 |
| 7654321 | City National Rochdale Custom, Profile 1, Conservative | City National Rochdale Custom, Profile 1, Conservative | \$1,000.00 | August 2019 |
| <p>⁺This description will not appear on your account statement when fee is debited. Depending on your custodian and/or bank the transaction will appear as "Planning/Consulting Fee", "Other Expense" or with another description as determined by your financial institution processing payment.</p> <p>⁺⁺Fee will be deducted from your account by the tenth business day of the indicated month.</p> | | | | |

Fee schedule will appear based on advisor preferences

QPR billing invoice will include Planning and Consulting Fees

Sample with Fee Schedule

| Sample | | Portfolio Billing | | | | |
|---|--|--|-------------------|----------------------------|----------------|-------------|
| Tom Planning | | | | | | |
| FOR THE THIRD QUARTER OF 2019 | | | | | | |
| Planning and Consulting Fees | | | | | | |
| PLANNING AND CONSULTING FEE SCHEDULE: | | | | | | |
| Account Number | Description | Amount | Next Payment Date | Frequency | Payment Method | Annual Rate |
| ACH - XXXXXXXX3152 | Initial Planning Fee | \$10,000.00 | November 2019 | One-Time | ACH | N/A |
| 31234567 | Quarterly Installment of Annual Financial Planning Fee | \$300.00 | September 2019 | Quarterly | Debit Account | \$1,200.00 |
| 7654321 | Trust Beneficiary Planning Meeting | \$1,000.00 | August 2019 | One-Time | Debit Account | N/A |
| THE FOLLOWING FEES ARE TO BE CHARGED THIRD QUARTER OF 2019: | | | | | | |
| Account Number | Strategy | Description ⁺ | Amount | Payment Date ⁺⁺ | | |
| 31234567 | GPS Select Low Volatility, Profile 1, Conservative | Quarterly installment of Annual Financial Planning Fee | \$300.00 | September 2019 | | |
| 7654321 | City National Rochdale Custom, Profile 1, Conservative | Trust Beneficiary Planning Meeting | \$1,000.00 | August 2019 | | |
| <p>⁺This description will not appear on your account statement when fee is debited. Depending on your custodian and/or bank the transaction will appear as "Planning/Consulting Fee", "Other Expense" or with another description as determined by your financial institution processing payment.</p> <p>⁺⁺Fee will be deducted from your account by the tenth business day of the indicated month.</p> | | | | | | |

Bulk Download Documents



Document Vault – Bulk Download

Step 1: Go to the Document Vault

- Service Center → Tax and Reporting → Document Vault

Advisor Workstation

Search

Help | Preferences | Contact Us | Feedback | Meeting Mode Off

Home Clients 39 Tracking Center Investments Retirement Financial Planning Business Consulting Marketing Service Center Account Wizard

Overview Operational Support Billing and Fees Tax and Reporting Compliance

Tax Resources Statements Document Vault

Step 2: Set Parameters

- Select: Document Type and Time Period

Overview Operational Support Billing and Fees Tax and Reporting Compliance

Client Document and Reports Vault

Use this tool to download up to 30 documents for multiple clients at one time.

Document Type

Quarterly Performance Reports (QPR)

Select a document type

- Quarterly Performance Reports (QPR)
- AssetMark Trust Tax Documents
- AssetMark Trust Custody Statements
- Market Value Reports
- Tax Savings Report

Find

Step 3: Select Document

- Options: View Selected as One or Save Selected

Client Document and Reports Vault

Use this tool to download up to 30 documents for multiple clients at one time.

Document Type

Quarterly Performance Reports (QPR)

Year

2025

Quarter

Quarter 4

Find

View Selected as One

Save Selected

Batch #1

Select All Clear All

| | | |
|---|--|--|
| <input checked="" type="checkbox"/> BAW QA, CAR test client-QPR-2025 Q4 | <input checked="" type="checkbox"/> Oxley, Ben_BPM_ENAX-QPR-2025 Q4 | <input checked="" type="checkbox"/> Reed BUG Test 2 11.23.2021, Alicia-QPR-2025 Q4 |
| <input checked="" type="checkbox"/> Reed IRA Reg Types 02.08.22, Alicia-QPR-2025 Q4 | <input checked="" type="checkbox"/> Reed TOBIWFI-572 11.17..21, Alicia-QPR-2025 Q4 | <input checked="" type="checkbox"/> Reed TRUST QRP Reg, Alicia-QPR-2025 Q4 |
| <input checked="" type="checkbox"/> Reed, Alicia-QPR-2025 Q4 | <input checked="" type="checkbox"/> TBBK Test 32-QPR-2025 Q4 | <input checked="" type="checkbox"/> TBBK Test 4-QPR-2025 Q4 |
| <input checked="" type="checkbox"/> TEST 2, TBBK-QPR-2025 Q4 | | |

View Selected as One

Save Selected

New eWealthManager Experience



New Look....

app.assetmark.com

Old bookmarks redirect to their correct context

AssetMark branding

Login pages, advisor communication and application only show AssetMark logo. When sidebar is collapsed, only shows logomark, not words

Eventually, we'll retire EWM branding for client portal, but separately from this initiative

Legacy "2.0" content integrated

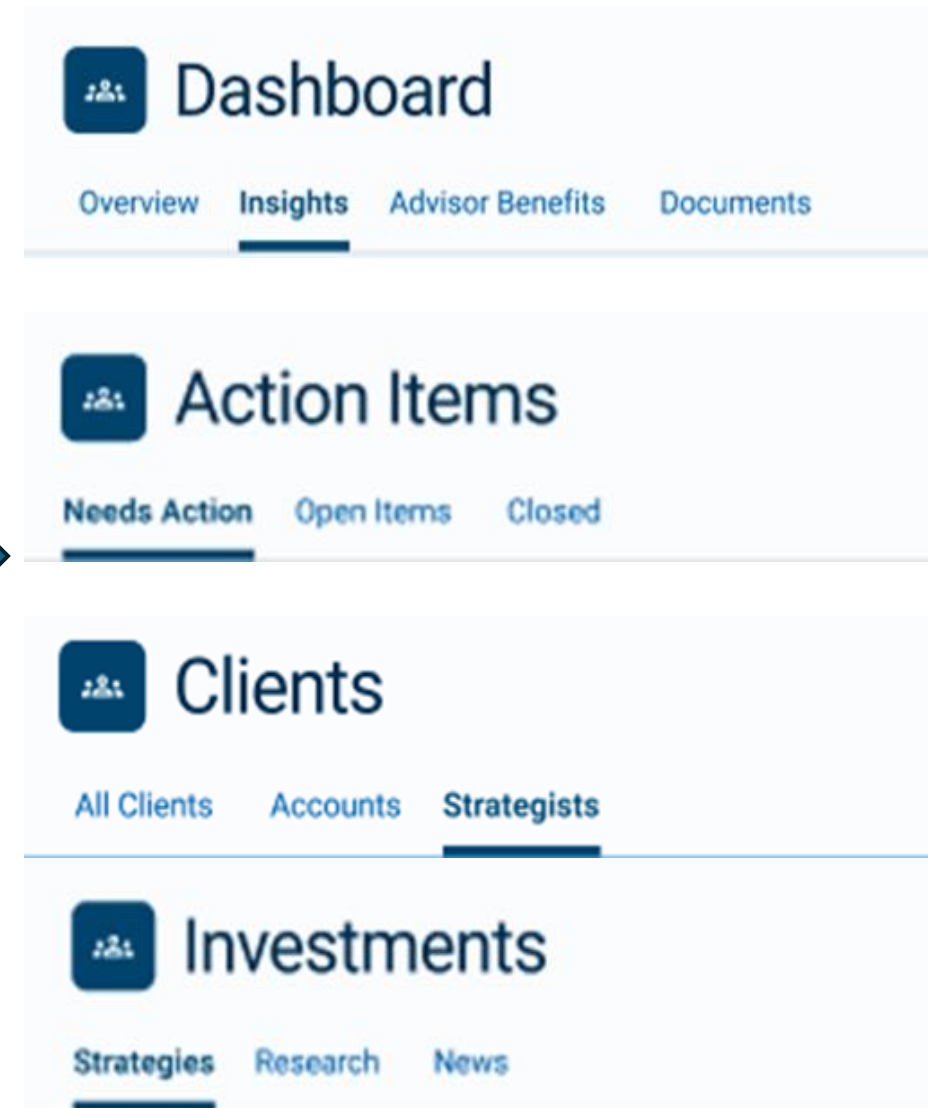
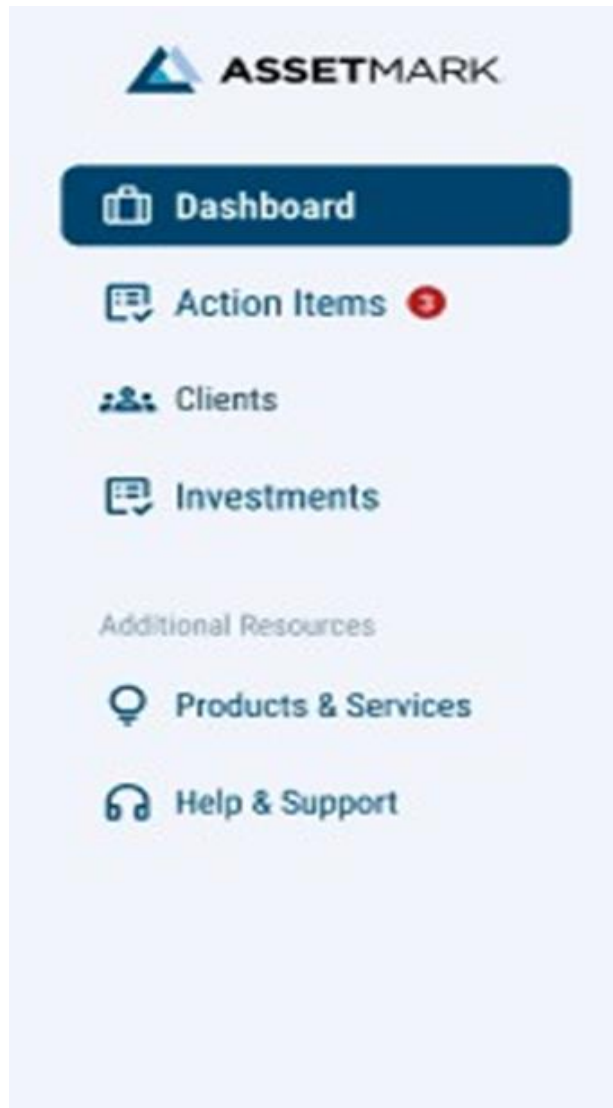
Fully backwards compatible links and behavior

The screenshot shows a web browser window with the URL `https://app.assetmark.com/app/clients`. The browser's address bar and tabs are visible. The page features a sidebar on the left with the AssetMark logo and navigation items: Dashboard, Action Items (5), Clients (highlighted), Models, Investments, Products & Services, and Help & Support. The main content area is titled "Clients" and includes tabs for "All Clients", "Accounts", and "Strategists". Below the tabs is a table with columns: Client Name, Market Value, Total Value with Accrued Income, Access to Website, and Net Investment. The table contains several rows of client data, including "\$90k Moderate Conservat", "*Prime, Sample", "*Sample, Client", "*Test, Client 1", "*Test, Prospect", "*Test, Sample", ", 92900test", and ", AEDtest2".

| Client Name | Market Value | Total Value with Accrued Income | Access to Website | Net Investment |
|----------------------------|--------------|---------------------------------|-------------------|----------------|
| > \$90k Moderate Conservat | \$0.00 | \$0.00 | No | |
| > *Prime, Sample | \$0.00 | \$0.00 | No | |
| > *Sample, Client | \$0.00 | \$0.00 | No | |
| > *Test, Client 1 | \$0.00 | \$0.00 | No | |
| > *Test, Prospect | \$0.00 | \$0.00 | No | |
| > *Test, Sample | \$0.00 | \$0.00 | No | |
| > , 92900test | \$0.00 | \$0.00 | No | |
| > , AEDtest2 | \$0.00 | \$0.00 | No | |

New – Global Navigation

- Global navigation; consistent and collapsible
- All sections use common session management – consistent log in time
- Clear indicators in new tab
- All tech *under one roof*



Resources



Power Hour Topics

Power Hour 1

Billing

New to you at AssetMark

Service

- AssetMark Retirement Services
- AssetMark Service & Support

Introduction to AssetMark Advisor Portal

- New Accounts and the Client Experience
- Tax Management Services

Power Hour 2

User Options/Access

- Shared Access User
- Office Administrator
- How to grant shared access

Introduction to AssetMark Advisor Portal

- Tracking Center
- Account Maintenance
- Investment Changes

Business Consulting Advisor Benefits

Power Hour 3

Client Investor Portal

Introduction to AssetMark Advisor Portal

- Client and Account Lists
- Initiating Maintenance
- Preferences
- On Demand Reports

- **Advanced Planning**

Power Hour 4

Client Updates

- Investor Portal Invitations
- Investor Portal Client Experience
- AssetMark Investor Mobile App

Quarterly Performance Reviews

Service Center

Efficient Advisors Information Page



www.assetmark.com/efficientadvisors

Elevate Your Practice

Welcome to AssetMark. Let's grow together.



and



ABOUT ASSETMARK

Who Are We?

AssetMark is an industry-leading wealth platform with a proven track record of growth and innovation. Founded in 1996 and based in Concord, California, we now have over 1,100 employees who are singularly focused on making a difference in the lives of advisors and their clients. Today, the AssetMark platform has over \$148 billion in assets and serves over 10,500 financial advisors and over 318,000 investor households.

Advisors work with AssetMark because we share a common mission: making a difference in the lives of investors. We serve independent financial advisors of all models at every stage of their journey; this includes RIAs, Broker Dealer-affiliated advisors, and advisors at Credit Unions and Banks.

Our platform is strategically built to empower independent advisors to grow and scale their business by outsourcing specialty services that would otherwise require significant investments of time and money. The key pillars of AssetMark's differentiated services include integrated digital solutions, curated investment strategies, and highly customized consulting and service support.

[UNCOVER THE REASONS ADVISORS CHOOSE ASSETMARK →](#)



Share your feedback!



Please help us with your feedback by answering these two quick survey questions

Important Information

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