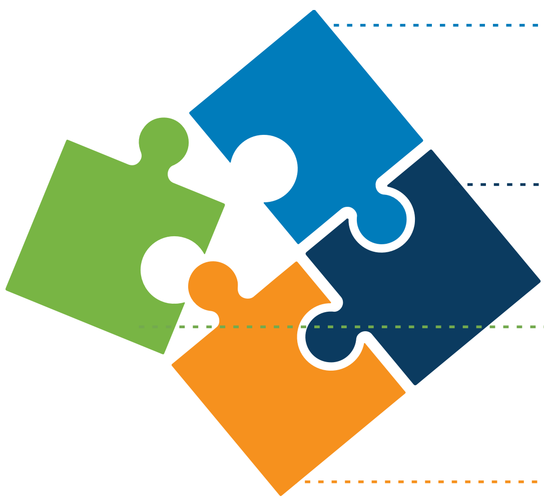
Working with **AssetMark**

An Overview of Our Services

"At AssetMark, we understand that your business is successful only when your clients are on track to achieve their financial goals. Every day, we strive to help you deliver great outcomes for your clients."

Charles Goldman
Chief Executive Officer, AssetMark



Asset Management
Excellence

Compelling
Technology

Thought
Leadership

All Delivered through Great
Relationships

More than **20** years' experience	More than **7,000** advisor relationships	Approximately **$42*** billion in assets on our platform

*As of Dec 31, 2017

ASSETMARK™

Asset Management
Excellence

Investing Evolved is an innovative approach to investing.

We offer a range of **well-known investment solution providers**, all researched and reviewed by our team of **due diligence experts** who:

- Meet strategists and managers in their offices and conduct calls at least quarterly
- Evaluate investment performance and provide published insights

There are two ways to work with us:

We can build portfolios for you
Guided Portfolio Solutions incorporate our best thinking into professionally managed portfolios.

You can construct portfolios yourself
Open-design choices offer a range of well-known investment solution providers.

Our investing platform can help you:

- Measure and manage risk in a more meaningful way
- Easily identify the right mix of investment solutions and construct more responsive portfolios to help your clients stay invested for the long term

"It's not about a theoretical best fit for how to navigate the markets. It's about how to fit the right portfolio for a person *who you know* to the markets."

Jerry Chafkin
EVP, Chief Investment Officer

Compelling
Technology

Our technology helps you build great relationships and a stronger practice.

eWealthManager makes it easy to open, manage and service your clients' accounts.

Quarterly performance reviews and on-demand reports help you respond quickly to your clients' needs.

PortfolioEngine℠ enables you to visually demonstrate portfolio analytics and performance to clients and prospects.

Our leading technology enhances our platform, such as:

Riskalyze	Money Quotient®
Finance Logix®	PortfolioEngine℠

Our technology can help you:

- Easily scale your business with technology that facilitates new account openings, investment changes, proposals and client reporting
- Build your brand with customizable content from our marketing portal

"We're committed to delivering easy-to-use and innovative technology that leads to great conversations between advisors and their clients."

Muk Mehta
EVP, Chief Information Officer

Thought Leadership

Our Practice Management programs help you stay on top of industry trends.

Analyze your practice and realize its potential by working with your peers, key performance indicators and customizable performance measurements.

Work with top practice management coaches and thought leaders on branding, marketing and acquisition in our interactive workshops.

Plus, our **investment team** can work with you one-on-one or in small groups to incorporate our investment solutions, understand new portfolio management ideas and leverage our technology.

Our insights can help you:

- Segment your business and implement solutions to fine-tune your practice
- Develop a plan to scale your business, create brand awareness and work toward a more profitable, sustainable model for your firm's future

All Delivered through Great Relationships

Our relationships are built on trust.

Our relationship and service consultants go to great lengths to support you and your business, so you can focus on what matters most—serving your clients and helping them achieve their goals.

Our service teams act as an **extension of your staff** and provide support for larger transactions.

When you work with us, you'll get **hands-on guidance** from a Relationship Manager.

Develop your business with our **Premier Consultant Program**. As your practice grows, we'll support you with enhanced service models, Practice Management support and access to industry-leading events throughout the year.

Our team can help you:

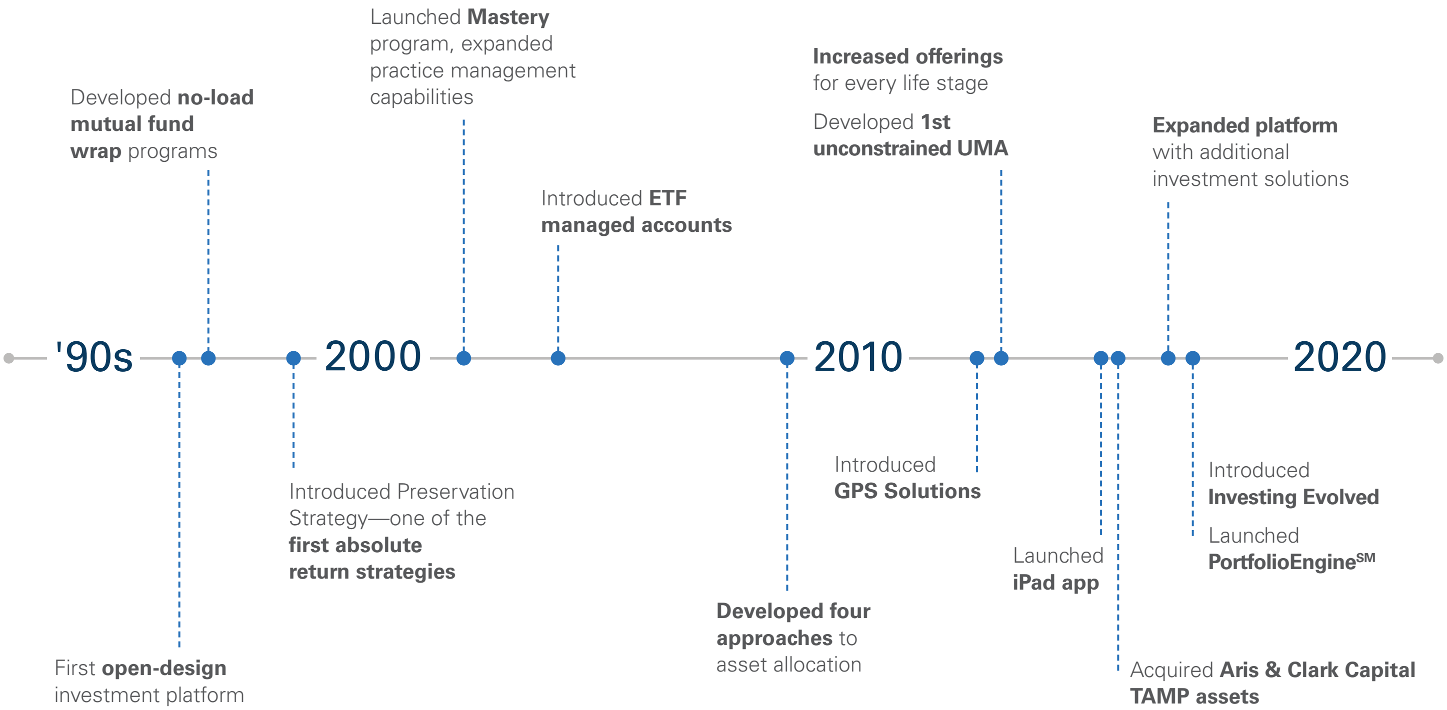
- Access nationwide workshops, events and webinars to master the power of our platform and your practice
- Get invited to industry-leading events where you learn practical, actionable ideas to enhance your practice, in the company of like-minded advisors

"We help our advisors build practices that are sustainable and successful so they can achieve their professional goals."

Natalie Wolfsen
EVP, Chief Solutions Officer

"Our advisors depend on us as business partners, not just product providers."

Michael Kim
EVP, Chief Client Officer



Let us help you

- Segment your book of business
- Build a customized practice model
- Develop a client communication plan
- Customize client presentations
- Fund accounts

"All firms talk about delivering great service, we back it up with stellar execution."

Carrie Hansen

EVP, Chief Operating Officer

ASSETMARK

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Important Information

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When using PortfolioEngine℠, the Model Portfolio(s) shown are for informational purposes only and reports are generated by the Financial Advisor listed on the cover of the report, intended solely for the investor named on the cover of the report. AssetMark Investment Solution(s), Strategist(s), and Profile(s) shown in a report are for informational purposes only, and these reports do not represent any investment advice or recommendations of product combinations by AssetMark, Inc. AssetMark does not independently verify the accuracy of this data or whether the comparisons with an AssetMark Investment Solution(s) is appropriate or valid. Further, AssetMark does not independently verify whether the performance returns represent the actual fees charged by AssetMark for any of its advisory services, or in any products or services not available through the AssetMark platform. AssetMark does not independently verify whether an index "benchmark," specifically requested by a Financial Advisor, is an appropriate market indicator for any AssetMark Investment Solution(s), Strategist(s), Profile(s), or non-AssetMark solution or product shown in a report.

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